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Martha Redding  
Associate General Counsel  
Assistant Secretary

New York Stock Exchange  
11 Wall Street  
New York, NY 10005  
T + 1 212 656 2938  
F + 1 212 656 8101  
Martha.Redding@theice.com

## CONFIDENTIAL TREATMENT REQUEST

### VIA FEDEX

June 28, 2018

Brent J. Fields  
Secretary  
Office of the Secretary  
U.S. Securities and Exchange Commission  
100 F Street, NE  
Washington, D.C. 20549

SECURITIES AND EXCHANGE COMMISSION

**RECEIVED**

JUL 31 2018

DIVISION OF TRADING & MARKETS

Re: Application Requesting Confidential Treatment for Certain Documents Produced to the United States Securities and Exchange Commission by the New York Stock Exchange LLC, NYSE American LLC, NYSE Arca, Inc., and NYSE National, Inc. in Connection with the respective Amendments to Form 1

Dear Mr. Fields:

The purpose of this letter is to request confidential treatment under Rule 24b-2 of the Securities Exchange Act of 1934 for certain documents submitted pursuant to Rule 6a-2, addressed to the Division of Market Regulation, Office of Market Supervision, United States Securities and Exchange Commission ("SEC" or the "Commission"), and signed by Martha Redding, Associate General Counsel, New York Stock Exchange.

Description	Bates Nos.	Date Produced
Exhibit D of the 2018 annual Amendment to Form 1 of New York Stock Exchange LLC ("NYSE") containing unconsolidated and unaudited financial information for certain subsidiaries and affiliates of NYSE, dated June 28, 2018 addressed to the Division of Market Regulation, Office of Market	NYSE-ONE18-000007– NYSE-ONE18-000172	June 28, 2018

Description	Bates Nos.	Date Produced
Supervision, of the SEC and signed by Martha Redding, produced to the Commission pursuant to Rule 6a-2 under the Securities Exchange Act of 1934.		
Exhibit D of the 2018 annual Amendment to Form 1 of NYSE American LLC ("NYSE American"), containing unconsolidated and unaudited financial information for certain subsidiaries and affiliates of NYSE American, dated June 28, 2018 addressed to the Division of Market Regulation, Office of Market Supervision, of the SEC and signed by Martha Redding, produced to the Commission pursuant to Rule 6a-2 under the Securities Exchange Act of 1934.	AMERICAN-ONE18-000007– AMERICAN-ONE18-000172	June 28, 2018
Exhibit D of the annual Amendment to Form 1 of NYSE Arca, Inc. ("NYSE Arca"), containing unconsolidated and unaudited financial information for certain subsidiaries and affiliates of NYSE Arca, dated June 28, 2018 addressed to the Division of Market Regulation, Office of Market Supervision, of the SEC and signed by Martha Redding, produced to the Commission pursuant to Rule 6a-2 under the Securities Exchange Act of 1934.	NYSEARCA-ONE18-000007– NYSEARCA-ONE18-000172	June 28, 2018

Description	Bates Nos.	Date Produced
Exhibit D of the 2018 annual Amendment to Form 1 of NYSE National, Inc. ("NYSE National"), containing unconsolidated and unaudited financial information for certain subsidiaries and affiliates of NYSE National, Inc., dated June 28, 2018 addressed to the Division of Market Regulation, Office of Market Supervision, of the SEC and signed by Martha Redding, produced to the Commission pursuant to Rule 6a-2 under the Securities Exchange Act of 1934.	NYSENAT-ONE18-000007– NYSENAT-ONE18-000172	June 28, 2018
Organizational Chart of Intercontinental Exchange, Inc.	NYSE-ONE18-000211– NYSE-ONE18-000214  AMERICAN-ONE18-000209– AMERICAN-ONE18-000212  NYSEARCA-ONE18-000202– NYSEARCA-ONE18-000205  NYSENAT-ONE18-000199– NYSENAT-ONE18-000202	June 28, 2018

The above-referenced Bates stamped documents ("Confidential Material") are deemed by Intercontinental Exchange, Inc. ("ICE"), on behalf of the New York Stock Exchange LLC, NYSE American LLC, NYSE Arca, Inc., and NYSE National, Inc. (collectively, the "Exchanges"), to be highly confidential and confidentiality therefore is being requested under the Freedom of Information Act ("FOIA"), 5 U.S.C. 552, the Commission's rules and regulations thereunder (e.g., 17 C.F.R. 200.83(c)) and other applicable statutes or regulations. We request that the Confidential Material be kept confidential for a minimum of 3 years from the date of submission (until June 22, 2021) to ensure that the financial information contained therein is considered sufficiently stale and therefore could not create competitive harm, disadvantage the Exchanges, or be misconstrued to the detriment of the Exchanges and/or public. Because these Confidential Materials constitute financial information which are privileged or confidential, they are subject to the exemption from mandatory disclosure found in Section 552(b)(4) of FOIA ("FOIA Exemption 4").

FOIA Exemption 4 protects information “which is (a) commercial or financial, and (b) obtained from a person, and (c) confidential or privileged.” *Landfair v. Dep’t of the Air Force*, 645 F. Supp. 325, 327 (D.D.C. 1986). Because there is little question that the information in question is financial or that it was received from a person, the central inquiry of Exemption 4 is the confidentiality requirement. The courts have fashioned two alternative tests to determine whether commercial or financial information is confidential. Commercial or financial information is considered confidential “if disclosure of the information is likely to have either of the following effects: (1) to impair the Government’s ability to obtain necessary information in the future; or (2) to cause substantial harm to the competitive position of the person from whom the information was obtained.” *Nat’l Parks Conservation Ass’n v. Morton*, 498 F.2d 765, 770 (D.C. Cir. 1974) (“National Parks I”) (footnote omitted).

Under the second test, “it is not necessary to show actual competitive harm.” *Gulf & W. Indus., Inc. v. United States*, 615 F.2d 527, 530 (D.C. Cir. 1979). Rather, “[a]ctual competition and the likelihood of substantial competitive injury is all that need be shown.” *Id.* (emphasis added). Further, there is no need to marshal detailed economic analysis, such as might be required in an antitrust setting, to demonstrate the prospect of competitive harm. *Id.* at 530; see also *Nat’l Parks & Conservation Ass’n v. Kleppe* (“National Parks II”), 547 F.2d 673, 681 & n.24 (D.C. Cir. 1976). Indeed, competitive injury may be premised upon a reasonable inference, derived from the nature of the material requested and the competitive circumstances involved, that competitors could make affirmative use of the requested material to the detriment of the submitter. See *National Parks II*, 547 F.2d at 683-84. Such competitive harm may result from the use of such information either by direct competitors or by persons with whom one is negotiating. See *Am. Airlines, Inc. v. Nat’l Mediation Bd.*, 588 F.2d 863, 868 n.13 (2d Cir. 1978).

For example, in *National Parks II*, the Court of Appeals held that it was “virtually axiomatic” that disclosure of detailed financial records would cause substantial competitive injury. *National Parks II*, 547 F.2d at 683-84. The court recognized that the release of financial statements could result in a number of competitive harms. Competitors could use the information to determine costs, revenue, and operating margins. In turn, the competitors could use this information to undercut those prices. See *id.* Other courts have reached similar conclusions, shielding financial statements from release because of the inherent competitive harm that would be caused by their disclosure. See, e.g., *People for the Ethical Treatment of Animals v. U.S. Dep’t of Agriculture*, No. 03-C-195-SBC, 2005 WL 1241141, at \*8 (D.D.C. May 24, 2005); *Judicial Watch, Inc. v. Export-Import Bank*, 108 F. Supp.2d 19, 29 (D.D.C. 2000).

The release of financial statements also can serve as a blueprint to competitors who want to mimic a successful business. Specifically, courts have recognized that selective pricing, market concentration, expansion plans and possible take-over bids would be facilitated by knowledge of the financial information contained in the detailed financial statements. *National Parks II*, 547 F.2d at 684.

*National Parks II* also made it clear that the exemption was intended to prevent the fundamental unfairness that can result from one side to a transaction having confidential information about the other in a business context. Cf. *id.* at 678 n.18. See also *Am. Airlines, Inc.*, 588 F.2d at 868 n.13. Access to a company’s detailed financial information would allow

competitors, customers, and employees alike to bargain for better prices, wages or interest rates, while the submitting company's unregulated competitors would not be similarly exposed. *National Parks II*, 547 F.2d at 684; *see also People for the Ethical Treatment of Animals*, 2005 WL 1241141, at \*8 (withholding financial information over concerns that "breeders would gain a strong competitive edge in the negotiating process").

As in the cases discussed above, the Confidential Information, which is comprised of financial statements and balance sheets, could be used by competitors of ICE and the Exchanges to gain a competitive advantage in multiple ways.

**First**, competitors of ICE's other business lines could use this information to determine prices and operating margins, and in turn use the information to undercut those prices or exploit operating margins. *See, e.g., National Parks II*, 547 F.2d at 684; *People for the Ethical Treatment of Animals*, 2005 WL 1241141, at \*8. ICE owns and operates numerous entities engaged in varied business activities including the operation of futures trading, clearing, pricing and trading analytics, and market data services to support the trading, investment, and risk management needs of customers across virtually all asset classes. The Confidential Information for these ICE entities could be used by competitors such as CME Group, Inc. ("CME Group"), Nasdaq, Inc., and Bloomberg to directly engage in price undercutting and as a result cause competitive harm to those subsidiaries.

For example, CME Group is a direct competitor to ICE and ICE's subsidiaries in the futures trading, clearing, and market data industry. CME Group could use the Confidential Information to derive the business performance of those subsidiaries and then use the information to set pricing on its competing products, including agricultural and energy commodities and currency and equity index contracts. For instance, the Confidential Information discloses specific entities operating revenues, operating income, and operating margin, whereas the ICE publicly filed financial statements do not separate out such results for each subsidiary. As a result, the Confidential Information would provide CME Group, a direct competitor, insight into the specific business performance of competing subsidiaries that offer competing products, including operating margins. ICE does not have access to similar information regarding CME Group, putting ICE at a competitive disadvantage.

**Second**, competitors could use the Confidential Information to gain an unfair advantage by having insight into the best and worst performing subsidiaries and business lines. *National Parks II*, 547 F.2d at 683-84. It could then mimic and encroach upon ICE's expansion into certain businesses lines. For example, competitors could derive from the Confidential Information where ICE makes a substantial portion of its profits and therefore could use that information to move into those businesses. Disclosure of the Confidential Information also provides insights and information about experimental business lines that could reveal ICE's confidential business or expansion strategies to competitors who plan to enter the field after seeing cost and revenue information. Further, competitors could use the information to target employees of certain subsidiaries to hire them away from ICE.

**Third**, customers could use the operating margin or profits of certain business lines contained in the Confidential Information to put pricing pressure on ICE to lower its prices or

decide to move their business somewhere else, which would put ICE at a competitive disadvantage. Specifically, the Confidential Information would provide competitors with information on the ICE subsidiaries engaged in business areas such as Pricing Analytics, Market Data, Futures and Clearing, and Credit Execution without ICE having access to comparable information for those competitors in those business arenas. Similarly, the unequal information would allow any party to improve its negotiation position against ICE and its subsidiaries, enabling them to bargain for better prices, wages, or interest rates. Meanwhile, ICE's unregulated competitors would not be similarly exposed.

*Fourth*, the fact that the SEC requires the Confidential Information to be unconsolidated standalone financials for every subsidiary means that ICE shareholders will have access to immaterial financial information and could come to an incorrect conclusion about the information. For example, although the stand-alone financials for a given subsidiary are accurate, a shareholder could be confused by items such as intercompany loans between two subsidiaries. Without seeing the consolidated effect, the investor could incorrectly interpret a loan as a loss or asset.

Similarly, media sources could publish speculative or negative stories based on incorrect interpretation of the unconsolidated stand-alone financials, which could then have a negative impact on ICE's stock price. Such an effect would not only put ICE at a competitive disadvantage by impeding ICE's ability to use its stock for acquisitions but it could also harm ICE's shareholders.

*Fifth*, activist investors could misuse the information from the Confidential Information, putting ICE at a competitive disadvantage to other public companies that do not have to disclose financial information similar to that found in the Confidential Information because it isn't material to the business of the public company.

For all the foregoing reasons, the Exchanges requests that the Commission determine to protect the confidentiality of the Confidential Material and the information contained therein.

We consent to the release of the Confidential Material for official purposes to any member of the Commission, its staff, other government agencies, offices or bodies, or Congress.

Should the Commission receive any request for these documents from any third party not a member of the Commission, its staff, other government agencies, offices or bodies, or Congress, either pursuant to FOIA or otherwise, we request that we are given an opportunity to object to such disclosure. Furthermore, should the Commission be inclined to disclose these documents to any third party that is not a member of the Commission, its staff, other government agencies, offices or bodies, or Congress, we request that, in accordance with normal Commission practice, the Commission will provide ten business days' advance notice of any such decision. See, e.g., Chrysler Corp. v. Brown, 441 U.S. 281 (1979).

In that event, we request that the Commission immediately telephone and provide a written copy of such notice to Martha Redding, Associate General Counsel, New York Stock Exchange, 11 Wall Street, 19th Floor, New York, New York 10005, (212) 656-2938,

Brent J. Fields, Secretary

June 28, 2018

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martha.redding@theice.com, so that further substantiation of this confidentiality request may be submitted.

Sincerely,

A handwritten signature in black ink, appearing to be 'JM' or similar, written over a horizontal line.

Cc via email, without enclosure:

Jeanette Marshall  
Securities and Exchange Commission  
Division of Trading and Markets  
100 F Street, NE  
Washington, D.C. 20549

Marlene E. Olsen  
Securities and Exchange Commission  
Division of Trading and Markets  
100 F Street, NE  
Washington, D.C. 20549

The following information was submitted with a request that the Securities and Exchange Commission grant confidential treatment under Rule 24b-2 under the Securities Exchange Act of 1934 ("Exchange Act") for certain information contained in Exhibit D of amendments to Form 1, which were filed pursuant to Rule 6a-2 under the Exchange Act. The Securities and Exchange Commission denied the request for confidential treatment. See Securities Exchange Act Release No. 83760 (August 1, 2018) (Order Denying Applications by New York Stock Exchange LLC, NYSE MKT LLC, NYSE Arca, Inc. and NYSE National, Inc., Respectively, for Confidential Treatment Pursuant to Rule 24b-2 under the Exchange Act for Material Filed Pursuant to Rule 6a-2 under the Exchange Act). See also Securities Exchange Act Release No. 84497 (October 29, 2018) (Order Lifting the Automatic Stay of the Order Denying Applications by New York Stock Exchange LLC, NYSE MKT LLC, NYSE Arca, Inc., and NYSE National, Inc., Respectively, for Confidential Treatment Pursuant to Rule 24b-2 under the Exchange Act for Material Filed Pursuant to Rule 6a-2 under the Exchange Act).





**NYSE AMEX Options LLC**  
**BALANCE SHEET**

**As of December 31, 2017**  
**(Unaudited)**  
**(in thousands)**

**CURRENT ASSETS:**

Cash and cash equivalents	\$	74
Accounts receivable, net of allowance		(2,040)
Due from affiliates		194,635
Income tax receivable		1,364
<b>Current assets</b>		<b>194,033</b>

**NON-CURRENT ASSETS:**

Goodwill		89,412
Deferred tax asset- noncurrent		-
<b>Other non-current assets</b>		<b>89,412</b>
<b>Assets</b>		<b>283,445</b>

**LIABILITIES and EQUITY:**

**CURRENT LIABILITIES:**

Accounts payable and accrued liabilities		2,298
SEC fees payable		2,480
<b>Current liabilities</b>		<b>4,778</b>
<b>Liabilities</b>		<b>4,778</b>

**Noncontrolling Interest** (27,418)

**SHAREHOLDERS EQUITY:**

Contributed capital		(59,971)
Additional paid-in capital		529
Retained earnings		365,527
<b>Equity</b>		<b>306,085</b>
<b>Total liabilities and equity</b>	<b>\$</b>	<b>283,445</b>

In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of normal recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the IntercontinentalExchange Group, Inc. financial statements and notes thereto for the year ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.



**NYSE AMEX Options LLC**  
**STATEMENT OF INCOME**  
**Year Ended December 31, 2017**  
**(Unaudited)**  
**(in thousands)**

Transaction and clearing fees, net	\$	58,759
Data services fees, net		4,870
Other revenues		25,492
Transaction based expenses		(6,147)
<b>Total revenue, less transaction-based expenses</b>		<b>82,974</b>
Compensation and benefits		7
Professional services		64
Technology and communication		2,337
Selling, general and administrative		(533)
Affiliate expense		19,845
<b>Operating expenses</b>		<b>21,720</b>
<b>Operating income</b>		<b>61,254</b>
Affiliate interest expense		932
<b>Other expense, net</b>		<b>932</b>
<b>Pre-tax net income</b>		<b>60,322</b>
<b>Income tax expense</b>		<b>1,241</b>
<b>Net income</b>		<b>59,081</b>

In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of normal recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the IntercontinentalExchange Group, Inc. financial statements and notes thereto for the year ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.



**Ballista Holdings, LLC**  
**Balance Sheet**  
**As Of December 31, 2017**  
**(Unaudited)**  
**(In thousands)**

**CURRENT ASSETS:**

Cash and cash equivalents	\$	-
<b>Current assets</b>		<b>0</b>

**OTHER NONCURRENT ASSETS**

Investment in affiliate		3,103
Goodwill		1,131
<b>Other noncurrent assets</b>		<b>4,234</b>
<b>Total assets</b>	<b>\$</b>	<b>4,234</b>

**LIABILITIES AND MEMBER EQUITY:**

**CURRENT LIABILITIES:**

Due to affiliates	\$	4,691
<b>Current liabilities</b>		<b>4,691</b>
<b>Total liabilities</b>		<b>4,691</b>

**EQUITY:**

Retained deficit		(457)
<b>Equity</b>		<b>(457)</b>
<b>Total liabilities and equity</b>	<b>\$</b>	<b>4,234</b>

In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of normal recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the Intercontinental Exchange Inc. financial statements and notes thereto for the year ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.



**Ballista Holdings, LLC**  
**Income Statement**  
**Year Ended December 31, 2017**  
**(Unaudited)**  
**(In thousands)**

**OPERATING EXPENSES:**

Selling, general & administration

\$ 4

**Operating expenses**

**4**

**Net loss**

**\$ (4)**

In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of normal recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the Intercontinental Exchange, Inc. financial statements and notes thereto for the year ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.



**BRIX Holding Company, LLC**  
**Balance Sheet**  
**As Of December 31, 2017**  
**(Unaudited)**  
**(In thousands)**

<b>Total assets</b>	<b>\$</b>	<b>-</b>
<b>LIABILITIES AND MEMBER EQUITY:</b>		
<b>CURRENT LIABILITIES:</b>		
Due to affiliate	\$	1
<b>Current liabilities</b>		<b>1</b>
<b>Total liabilities</b>		<b>1</b>
<b>EQUITY:</b>		
Member capital		2,702
Retained earnings		(2,703)
<b>Equity</b>		<b>(1)</b>
<b>Total liabilities and equity</b>	<b>\$</b>	<b>-</b>

In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of nor recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the Intercontinental Exchange Group, Inc. financial statements and notes thereto for the ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.



**BRIX Holding Company, LLC**  
**Income Statement**  
**Year Ended December 31, 2017**  
**(Unaudited)**  
**(In thousands)**

**OTHER EXPENSE:**

Other expense	0
Other expense	0
Net loss	\$ 0

In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of normal recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the IntercontinentalExchange Group, Inc. financial statements and notes thereto for the year ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.



**Chatham Energy LLC**  
**Balance Sheet**  
**Year Ended December 31, 2017**  
**(Unaudited)**  
**(In thousands)**

**CURRENT ASSETS:**

Due from affiliate	\$ 24,575
<b>Current assets</b>	<b>24,575</b>

**PROPERTY PLANT AND EQUIPMENT:**

Property and equipment cost	59
Accumulated depreciation	(56)
<b>Property and equipment net</b>	<b>3</b>

**OTHER NON-CURRENT ASSETS**

Goodwill	5,402
Other intangibles, net	4,010
<b>Other non-current assets</b>	<b>9,412</b>

<b>Total assets</b>	<b>\$ 33,990</b>
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**LIABILITIES AND EQUITY:**

**CURRENT LIABILITIES:**

Accounts payable and accrued liabilities	\$ 20
Accrued salaries and benefits	214
<b>Current liabilities</b>	<b>234</b>

<b>Total liabilities</b>	<b>234</b>
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**EQUITY:**

Contributed Capital	29,092
Retained Earnings	4,664
<b>Equity</b>	<b>33,756</b>

<b>Total liabilities and equity</b>	<b>\$ 33,990</b>
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In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of normal recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the Intercontinental Exchange, Inc. financial statements and notes thereto for the year ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.



**Chatham Energy LLC**  
**Income Statement**  
**Year Ended December 31, 2017**  
**(Unaudited)**  
**(In thousands)**

**REVENUES:**

Revenue from a affiliate	\$ 3,221
<b>Operating revenues</b>	<b>3,221</b>

**OPERATING EXPENSES:**

Compensation and benefits	1,390
Professional services	6
Rent and occupancy	88
Technology and communication	92
Selling, general and administrative	19
Depreciation and amortization expense	1,203
Intercompany affiliate expense	194
<b>Operating expenses</b>	<b>2,992</b>

<b>Net income</b>	<b>\$ 229</b>
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In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of normal recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the Intercontinental Exchange, Inc. financial statements and notes thereto for the year ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.





**Chicago Climate Exchange, Inc.**

**Balance Sheet**

**As Of December 31, 2017**

**(Unaudited)**

**(In thousands)**

**CURRENT ASSETS:**

Cash and cash equivalents	\$	406
Income tax receivable		114
<b>Current assets</b>		<b>520</b>

**OTHER NON-CURRENT ASSETS**

Investment in subsidiary		33,332
<b>Other non-current assets</b>		<b>33,332</b>

<b>Total assets</b>	<b>\$</b>	<b>33,852</b>
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**LIABILITIES AND EQUITY:**

**CURRENT LIABILITIES:**

Accounts payable and accrued liabilities	\$	28
Due to affiliates		269
<b>Current liabilities</b>		<b>297</b>

**NON-CURRENT LIABILITIES:**

Deferred tax liabilities - non-current		12,100
<b>Non-current liabilities</b>		<b>12,100</b>

<b>Total liabilities</b>		<b>12,397</b>
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**EQUITY:**

Additional paid-in capital		1,950
Retained earnings		15,426
Accumulated other comprehensive income		4,079
<b>Equity</b>		<b>21,455</b>

<b>Total liabilities and equity</b>	<b>\$</b>	<b>33,852</b>
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In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of normal recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the IntercontinentalExchange Group, Inc. financial statements and notes thereto for the year ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.



**Chicago Climate Exchange, Inc.**  
**Income Statement**  
**Year Ended December 31, 2017**  
**(Unaudited)**  
**(In thousands)**

<b>TOTAL REVENUE</b>	<u>\$</u>	<u>-</u>
<b>OPERATING EXPENSES:</b>		
Compensation and benefits		
Professional services		1
Selling, general & administration		21
<b>Operating expenses</b>		<u>22</u>
<b>Operating loss</b>		(22)
<b>OTHER EXPENSE:</b>		
<b>Other expense</b>		<u>19</u>
<b>Pre-tax net loss</b>		(41)
Income tax benefit		5,328
<b>Net loss</b>	<u>\$</u>	<u>5,287</u>

In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of normal recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the IntercontinentalExchange Group, Inc. financial statements and notes thereto for the year ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.



Chicago Climate Futures Exchange, LLC

Balance Sheet

As Of December 31, 2017

(Unaudited)

(in thousands)

**CURRENT ASSETS:**

Cash and cash equivalents	\$	-
<b>Current assets</b>		-

**OTHER NON-CURRENT ASSETS**

Investment in subsidiary		2,529
<b>Other non-current assets</b>		2,529

<b>Total Assets</b>	<b>\$</b>	<b>2,529</b>
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**LIABILITIES AND MEMBER EQUITY:**

**CURRENT LIABILITIES:**

Due to affiliates	\$	-
<b>Current liabilities</b>		-

<b>Total liabilities</b>		-
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**EQUITY:**

Retained earnings		2,529
<b>Member Equity</b>		2,529

<b>Total Liabilities and Member Equity</b>	<b>\$</b>	<b>2,529</b>
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In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of nor recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the Intercontinental Exchange Group, Inc. financial statements and notes thereto for the ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.



**Chicago Climate Futures Exchange, LLC**  
**Income Statement**  
**Year Ended December 31, 2017**  
**(Unaudited)**  
**(in thousands)**

<b>TOTAL REVENUE</b>	<u>\$ -</u>
<b>OPERATING EXPENSES:</b>	
Selling, general and administrative	<u>-</u>
Operating expenses	<u>-</u>
<b>Net loss</b>	<u>\$ -</u>

In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of normal recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the IntercontinentalExchange Group, Inc. financial statements and notes thereto for the year ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.



**Chicago Depository, Inc. (dormant)**  
**Balance Sheet**  
**As Of December 31, 2017**  
**(Unaudited)**

**ASSETS:**

Other assets	\$	1
<b>Total assets</b>		<b>1</b>

**LIABILITIES AND EQUITY:**

**EQUITY:**

Member capital		1
<b>Member equity</b>		<b>1</b>
<b>Total equity</b>	\$	<b>1</b>

In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of nor recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the Intercontinental Exchange Group, Inc. financial statements and notes thereto for the ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.



**Clearing Corporation for Options and Securities (dormant)**

**Balance Sheet**

**As Of December 31, 2017**

**(Unaudited)**

**ASSETS:**

Other assets	\$	1
<b>Total assets</b>		<b>1</b>

**LIABILITIES AND EQUITY:**

**EQUITY:**

Member capital		1
<b>Equity</b>		<b>1</b>
<b>Total equity</b>	\$	<b>1</b>

In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of normal recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the Intercontinental Exchange, Inc. financial statements and notes thereto for the year ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.



**Commodity Exchange Center (dormant)**

**Balance Sheet**

**As Of December 31, 2017**

**(Unaudited)**

**ASSETS:**

Other assets		1
<b>Total Assets</b>	<b>\$</b>	<b>1</b>

**LIABILITIES AND MEMBER EQUITY:**

**MEMBER EQUITY:**

Member capital		1
<b>Member equity</b>		<b>1</b>
<b>Total member equity</b>	<b>\$</b>	<b>1</b>

In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of nor recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include incorr taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audite financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all o information required by generally accepted accounting principles for complete financial statements. These financial stateme should be read in conjunction with the IntercontinentalExchange Group, Inc. financial statements and notes thereto for the ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.



**CREDIT MARKET ANALYSIS USA**  
**BALANCE SHEET**

**As of December 31, 2017**

**(Unaudited)**

**(in thousands)**

**CURRENT ASSETS:**

Cash and cash equivalents	\$	423
Income tax receivable		1,679
<b>Current assets</b>		<b>2,102</b>

**PROPERTY AND EQUIPMENT**

Property and equipment cost		334
Accumulated depreciation		(36)
<b>Property and equipment, net</b>		<b>298</b>

**OTHER NON-CURRENT ASSETS:**

Deferred tax assets- noncurrent		161
<b>Other non-current assets</b>		<b>161</b>
<b>Assets</b>		<b>2,561</b>

**LIABILITIES and EQUITY:**

**CURRENT LIABILITIES:**

Accrued salaries and benefits		485
Other current liabilities		135
Due to affiliates		3,202
<b>Current liabilities</b>		<b>3,822</b>

**SHAREHOLDERS EQUITY:**

Contributed capital		71
Retained deficit		(1,332)
<b>Equity</b>		<b>(1,261)</b>
<b>Total liabilities and equity</b>	<b>\$</b>	<b>2,561</b>

In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of normal recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the IntercontinentalExchange Group, Inc. financial statements and notes thereto for the year ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.





**CREDIT MARKET ANALYSIS, USA**  
**STATEMENT OF INCOME**  
Year Ended December 31, 2017  
(Unaudited)  
(in thousands)

Affiliate revenue	\$	348
<b>Total revenue</b>		<b>348</b>
Compensation and benefits		4,899
Professional services		24
Acquisition-related transaction and integration costs		188
Technology and communication		23
Selling, general and administrative		144
Affiliate expense		35
<b>Operating expenses</b>		<b>5,313</b>
<b>Operating loss</b>		<b>(4,965)</b>
Other expense, net		3
<b>Other expense, net</b>		<b>3</b>
<b>Pre-tax net loss</b>		<b>(4,968)</b>
<b>Income tax benefit</b>		<b>1,991</b>
<b>Net income</b>		<b>(2,977)</b>

In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of normal recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the IntercontinentalExchange Group, Inc. financial statements and notes thereto for the year ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.



**CREDITEX GROUP INC.**  
**BALANCE SHEET**  
**As of December 31, 2017**  
**(Unaudited)**  
**(In thousands)**

<b>CURRENT ASSETS:</b>	
Cash and cash equivalents	\$ 4,020
Accounts receivable, net of allowance	68
Prepaid expenses and other current assets	148
Current income tax receivable	59
<b>Current assets</b>	<b>4,295</b>
<b>PLANT PROPERTY AND EQUIPMENT:</b>	
Property and equipment cost	32,727
Accumulated depreciation	(27,011)
<b>Property and equipment, net</b>	<b>5,716</b>
<b>OTHER NON-CURRENT ASSETS:</b>	
Goodwill	358,772
Other intangibles, net	9,291
Other noncurrent assets	955
<b>Other non-current assets</b>	<b>369,018</b>
<b>Assets</b>	<b>379,029</b>
<b>LIABILITIES and EQUITY:</b>	
<b>CURRENT LIABILITIES:</b>	
Accounts payable and accrued liabilities	99
Accrued salaries and benefits	1,772
Due to affiliates, net	468,991
<b>Current liabilities</b>	<b>470,862</b>
<b>NON-CURRENT LIABILITIES:</b>	
Deferred tax liabilities - noncurrent	3,153
<b>Non-current liabilities</b>	<b>3,153</b>
<b>Liabilities</b>	<b>474,015</b>
<b>EQUITY:</b>	
Additional paid-in capital	23,249
Contributed capital	410,698
Retained deficit	(543,455)
Accumulated other comprehensive income	14,522
<b>Equity</b>	<b>(94,986)</b>
<b>Total liabilities and equity</b>	<b>\$ 379,029</b>

In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of normal recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the Intercontinental Exchange, Inc. financial statements and notes thereto for the year ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.



**CREDITEX GROUP INC.**  
**STATEMENT OF INCOME**  
**Year Ended December 31, 2017**  
**(Unaudited)**  
**(In thousands)**

Transaction fees, net	\$	325
Other revenue		321
Affiliate revenue		2,461
Total revenue		<u>3,107</u>
Compensation and benefits		6,749
Professional services		214
Technology and communications		466
Rent and other occupancy		1,011
Selling, general & administration		245
Amortization & depreciation expense		8,277
Service & license fees to affiliate		5,191
Operating expenses		<u>22,153</u>
Operating loss		<u>(19,046)</u>
Interest income		3
Interest expense to affiliates		603
Other expense, net		(272)
Other expense, net		<u>334</u>
Pre-tax net loss		(18,710)
Income tax benefit		6,476
Net loss	\$	<u>(12,234)</u>

In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of normal recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the Intercontinental Exchange, Inc. financial statements and notes thereto for the year ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.



**Creditex Holdco, LLC**  
**Balance Sheet**  
**As Of December 31, 2017**  
**(Unaudited)**

**ASSETS:**

Other assets	\$	1
<b>Total assets</b>		<b>1</b>

**LIABILITIES AND EQUITY:**

**EQUITY:**

Member capital		1
<b>Member equity</b>		<b>1</b>
<b>Total Equity</b>	\$	<b>1</b>

In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of normal recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the Intercontinental Exchange, Inc. financial statements and notes thereto for the year ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.



**CREDITEX LLC**  
**BALANCE SHEET**  
**As of December 31, 2017**  
**(Unaudited)**  
**(In thousands)**

**ASSETS:**

Cash and cash equivalents	\$	59
Accounts receivable, net of allowance		1,159
Due from affiliates, net		497,117
<b>Assets</b>	<b>\$</b>	<b>498,335</b>

**LIABILITIES and EQUITY:**

**CURRENT LIABILITIES:**

Accounts payable and accrued liabilities	\$	2
<b>Current liabilities</b>		<b>2</b>
<b>Liabilities</b>		<b>2</b>

Contributed capital		94,686
Retained earnings		403,647
<b>Equity</b>	<b>\$</b>	<b>498,333</b>

<b>Total liabilities and equity</b>	<b>\$</b>	<b>498,335</b>
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In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of normal recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2016 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the IntercontinentalExchange Group, Inc. financial statements and notes thereto for the year ended December 31, 2016, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.



**CREDITEX LLC**  
**STATEMENT OF INCOME**  
**Year Ended December 31, 2017**  
**(Unaudited)**  
**(In thousands)**

Transaction fees, net	\$ 4,563
Total revenue	<u>4,563</u>
Selling, general & administration	7
Service & license fees to affiliate	150
Operating expenses	<u>157</u>
Operating income	4,405
Other income, net	<u>41</u>
Pre-tax net income	4,446
Net income	<u>\$ 4,446</u>

In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of normal recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the IntercontinentalExchange Group, Inc. financial statements and notes thereto for the year ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.



**CREDITEX SECURITIES CORP**  
**BALANCE SHEET**  
**As of December 31, 2017**  
**(Unaudited)**  
**(In thousands)**

**CURRENT ASSETS:**

Cash and cash equivalents	\$	6,225
Restricted cash		300
Accounts receivable, net of allowance		694
Deferred tax assets - current		-
Income tax receivable		(1)
Prepaid expenses and other current assets		365
<b>Current assets</b>		<b>7,583</b>

**OTHER NON-CURRENT ASSETS:**

Deferred tax asset - non-current		71
Other non-current assets		250
<b>Other non-current assets</b>		<b>321</b>
<b>Assets</b>		<b>7,904</b>

**LIABILITIES and EQUITY:**

**CURRENT LIABILITIES:**

Accounts payable and accrued liabilities		64
Accrued salaries and benefits		32
Income Tax Payable		1
Due to affiliates		96
<b>Current liabilities</b>		<b>193</b>

**NON-CURRENT LIABILITIES:**

Other non-current liabilities		157
<b>Non-current liabilities</b>		<b>157</b>

**EQUITY:**

Retained earnings		5,263
Contributed capital		2,291
<b>Equity</b>		<b>7,554</b>

<b>Total liabilities and equity</b>	<b>\$</b>	<b>7,904</b>
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In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of normal recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the IntercontinentalExchange Group, Inc. financial statements and notes thereto for the year ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.





**CREDITEX SECURITIES CORP**  
**STATEMENT OF INCOME**  
Year Ended December 31, 2017  
(Unaudited)  
(In thousands)

Transaction fees, net	\$ 12,801
<b>Total revenue</b>	<b>12,801</b>
Compensation and benefits	1,727
Professional services	456
Technology and communication	456
Rent and occupancy	217
Selling, general & administration	153
Service & license fees to affiliates	8,246
<b>Operating expenses</b>	<b>11,255</b>
<b>Operating income</b>	<b>1,546</b>
Interest expense	4
Other income, net	(4)
<b>Other expense</b>	<b>-</b>
<b>Pre-tax net loss</b>	<b>1,545</b>
<b>Income tax expense</b>	<b>558</b>
<b>Net loss</b>	<b>\$ 987</b>

In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of normal recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the IntercontinentalExchange Group, Inc. financial statements and notes thereto for the year ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.



**CREDITTRADE, INC.**  
**BALANCE SHEET**  
**As of December 31, 2017**  
**(Unaudited)**

**OTHER NONCURRENT ASSETS:**

Deferred tax asset - noncurrent	\$	621
Investment in affiliates		4,178
<b>Other noncurrent assets</b>		<u>4,799</u>
<b>Assets</b>	<b>\$</b>	<u><u>4,799</u></u>

**LIABILITIES and EQUITY:**

**CURRENT LIABILITIES:**

Income taxes payable	\$	-
Due to affiliates, net		6,424
<b>Current liabilities</b>		<u>6,424</u>

**EQUITY:**

Retained deficit		(2,131)
Accumulated other comprehensive income		506
<b>Equity</b>		<u>(1,625)</u>
<b>Total liabilities and equity</b>	<b>\$</b>	<u><u>4,799</u></u>

In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of normal recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the IntercontinentalExchange Group, Inc. financial statements and notes thereto for the year ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.



**CREDITTRADE, INC.**  
**STATEMENT OF INCOME**  
**Year Ended December 31, 2017**  
**(Unaudited)**  
**(In thousands)**

Total revenue	\$	-
Operating expenses		-
Operating income		-
Pre-tax net income		-
Income tax expense		(349)
Net income	\$	(349)

In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of normal recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the IntercontinentalExchange Group, Inc. financial statements and notes thereto for the year ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.



**DCFB, LLC(dormant)**  
**Balance Sheet**  
**As Of December 31, 2017**  
**(Unaudited)**

**ASSETS:**

Other assets	1
<b>Total assets</b>	<b>\$ 1</b>

**LIABILITIES AND EQUITY:**

**EQUITY:**

Member capital	1
<b>Equity</b>	<b>1</b>
<b>Total equity</b>	<b>\$ 1</b>

In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of non-recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the Intercontinental Exchange Group, Inc. financial statements and notes thereto for the ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.



**eCops, LLC (dormant)**  
**Balance Sheet**  
**As Of December 31, 2017**  
**(Unaudited)**

**ASSETS:**

Other assets		1
<b>Total assets</b>	<b>\$</b>	<b>1</b>

**LIABILITIES AND MEMBER EQUITY:**

**MEMBER EQUITY:**

Member capital		1
<b>Member Equity</b>		<b>1</b>
<b>Total Member Equity</b>	<b>\$</b>	<b>1</b>

In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of nor recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the Intercontinental Exchange Group, Inc. financial statements and notes thereto for the ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.



**Electric Railroad LLC**  
**Balance Sheet**  
**As Of December 31, 2017**  
**(Unaudited)**

**ASSETS:**

Other assets	1
<b>Total assets</b>	<b>\$ 1</b>

**LIABILITIES AND EQUITY:**

**EQUITY:**

Member capital	1
<b>Equity</b>	<b>1</b>
<b>Total equity</b>	<b>\$ 1</b>

In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of non-recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the Intercontinental Exchange Group, Inc. financial statements and notes thereto for the period ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.

**Enterprises Aviation, LLC**  
**Balance Sheet**  
**As Of December 31, 2017**  
**(Unaudited)**  
**(In thousands)**

**CURRENT ASSETS:**

Prepaid expenses and other current assets	\$ 46
Due from affiliate	720
<b>Current assets</b>	<b>766</b>

<b>Total assets</b>	<b>\$ 766</b>
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**LIABILITIES and EQUITY:**

**CURRENT LIABILITIES:**

Accounts payable and accrued liabilities	283
Accrued salaries and benefits	110
<b>Current liabilities</b>	<b>393</b>

**EQUITY:**

Contributed capital	49
Retained earnings	324
<b>Equity</b>	<b>373</b>

<b>Total liability and equity</b>	<b>\$ 766</b>
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In opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of normal adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain items normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the Intercontinental Exchange Group, Inc. financial statements and notes thereto for the year ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.



**Enterprises Aviation, LLC**  
**Income Statement**  
**Year Ended December 31, 2017**  
**(Unaudited)**  
**(In thousands)**

<b>TOTAL REVENUE</b>	<u>\$ -</u>
<b>OPERATING EXPENSES:</b>	
Selling, general and administrative	(183)
<b>Operating expenses</b>	<u><b>(183)</b></u>
<b>Pre-tax net income</b>	<u>183</u>
Income tax expense	<u>0</u>
<b>Net income</b>	<u><u><b>\$ 183</b></u></u>

In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of normal recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the IntercontinentalExchange Group, Inc. financial statements and notes thereto for the year ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.





**FINRA/NYSE Trade Reporting Facility LLC**

**Balance Sheet**

**As Of December 31, 2017**

**(Unaudited)**

**ASSETS:**

Other assets		1
<b>Total assets</b>	<b>\$</b>	<b>1</b>

**LIABILITIES AND EQUITY:**

**EQUITY:**

Member capital		1
<b>Equity</b>		<b>1</b>
<b>Total equity</b>	<b>\$</b>	<b>1</b>

In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of non-recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the Intercontinental Exchange Group, Inc. financial statements and notes thereto for the period ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.



**Guaranty Clearing Corporation (dormant)**

**Balance Sheet**

**As Of December 31, 2017**

**(Unaudited)**

**ASSETS:**

Other assets	\$	1
<b>Total assets</b>		<b>1</b>

**LIABILITIES AND EQUITY:**

**EQUITY:**

Member capital		1
<b>Equity</b>		<b>1</b>
<b>Total equity</b>	\$	<b>1</b>

In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of non-recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the Intercontinental Exchange Group, Inc. financial statements and notes thereto for the ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.



**Hawk Enterprises 1, Inc.(dormant)**  
**Balance Sheet**  
**As Of December 31, 2017**  
**(Unaudited)**

**ASSETS:**

Other assets	1
<b>Total assets</b>	<b>\$ 1</b>

**LIABILITIES AND EQUITY:**

**EQUITY:**

Member capital	1
<b>Equity</b>	<b>1</b>
<b>Total equity</b>	<b>\$ 1</b>

In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of nor recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the Intercontinental Exchange Group, Inc. financial statements and notes thereto for the period ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.



**Hawk Enterprises 2, LLC(dormant)**  
**Balance Sheet**  
**As Of December 31, 2017**  
**(Unaudited)**

**ASSETS:**

Other assets		1
<b>Total assets</b>	<b>\$</b>	<b>1</b>

**LIABILITIES AND EQUITY:**

**EQUITY:**

Member capital		1
<b>Equity</b>		<b>1</b>
<b>Total equity</b>	<b>\$</b>	<b>1</b>

In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of nor recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the Intercontinental Exchange Group, Inc. financial statements and notes thereto for the ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.



**Highway Networks LLC**  
**Balance Sheet**  
**As Of December 31, 2017**  
**(Unaudited)**

**ASSETS:**

Other assets	1
<b>Total assets</b>	<b>\$ 1</b>

**LIABILITIES AND EQUITY:**

**EQUITY:**

Member capital	1
<b>Equity</b>	<b>1</b>
<b>Total equity</b>	<b>\$ 1</b>

In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of non-recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the Intercontinental Exchange Group, Inc. financial statements and notes thereto for the ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.



**ICE 4165 LLC**  
**Balance Sheet**  
**As Of December 31, 2017**  
**(Unaudited)**

**ASSETS:**

Cash Clearing

Other assets

**Total assets**

	1
	1
\$	1

**LIABILITIES AND EQUITY:**

**EQUITY:**

Member capital

**Equity**

	1
	1
	1

**Total equity**

\$	1
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In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of normal recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the Intercontinental Exchange, Inc. financial statements and notes thereto for the year ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.



**ICE 5660 LLC**  
**Balance Sheet**  
**As Of December 31, 2017**  
**(Unaudited)**  
**(in thousands)**

<b>CURRENT ASSETS:</b>		
Cash and cash equivalents	\$	(8)
Prepays		67
Current assets		59
<b>PROPERTY PLANT AND EQUIPMENT:</b>		
Property and equipment cost		134,472
Accumulated depreciation		(26,698)
Property and equipment, net		107,774
<b>NON-CURRENT ASSETS</b>		
Other non-current assets		116
Non-current assets		116
Total assets	\$	107,949
<b>LIABILITIES AND EQUITY:</b>		
<b>CURRENT LIABILITIES:</b>		
Accounts payable and accrued liabilities	\$	1,147
Due to affiliate		107,847
Current liabilities		108,994
Total liabilities		108,994
<b>EQUITY:</b>		
Contributed capital		37,776
Retained deficit		(38,821)
Equity		(1,045)
Total liabilities and equity	\$	107,949

In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of normal recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the Intercontinental Exchange, Inc. financial statements and notes thereto for the year ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.



**ICE 5660 LLC**  
**Income Statement**  
**Year Ended December 31, 2017**  
**(Unaudited)**  
**(in thousands)**

<b>Total Revenue</b>	<u>0</u>
<b>OPERATING EXPENSES:</b>	
Professional Services	767
Rent and other occupancy	2,817
Selling, general and administrative	1,510
Amortization and depreciation expense	9,269
<b>Operating expenses</b>	<u><u>14,363</u></u>
<b>Net loss</b>	<u><u>\$ (14,363)</u></u>

In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of normal recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the Intercontinental Exchange, Inc. financial statements and notes thereto for the year ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.





**ICE Atrium, Inc.**  
**BALANCE SHEET**  
**As of December 31, 2017**  
**(Unaudited)**  
**(in thousands)**

**CURRENT ASSETS:**

Cash and cash equivalents	597
Accounts receivable, net of allowance	676
Prepaid expenses and other current assets	1,579
Income tax receivable	58
<b>Current assets</b>	<b>2,910</b>

**PLANT PROPERTY AND EQUIPMENT:**

Property and equipment	889
Accumulated depreciation	(145)
<b>Property and equipment, net</b>	<b>744</b>

**NON-CURRENT ASSETS:**

Goodwill	1,048
Other non-current assets	8
Deferred tax receivable	1,217
<b>Other non-current assets</b>	<b>2,273</b>
<b>Assets</b>	<b>5,927</b>

**LIABILITIES and EQUITY:**

**CURRENT LIABILITIES:**

Accounts payable and accrued liabilities	630
Accrued salaries and benefits	258
Due to affiliates	3,196
Deferred revenue	191
<b>Current liabilities</b>	<b>4,275</b>
<b>Liabilities</b>	<b>4,275</b>

**SHAREHOLDERS EQUITY:**

Additional paid-in capital	19
Retained earnings	1,633
<b>Equity</b>	<b>1,652</b>
<b>Total liabilities and equity</b>	<b>\$ 5,927</b>

In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of normal recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the IntercontinentalExchange Group, Inc. financial statements and notes thereto for the year ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.



**ICE Atrium, Inc.**  
**STATEMENT OF INCOME**  
**Year Ended December 31, 2017**  
**(Unaudited)**  
**(in thousands)**

Data services fees, net	\$ 2,255
Affiliate revenue	150
<b>Total revenue</b>	<b>2,405</b>
Compensation and benefits	1,458
Professional services	54
Technology and communication	3,188
Rent and occupancy	196
Selling, general and administrative	45
Depreciation and amortization	145
Affiliate expense	899
<b>Operating expenses</b>	<b>5,985</b>
<b>Operating loss</b>	<b>(3,580)</b>
Other expense, net	18
<b>Other expense, net</b>	<b>18</b>
<b>Pre-tax net income</b>	<b>(3,598)</b>
<b>Income tax benefit</b>	<b>743</b>
<b>Net income</b>	<b>(2,855)</b>

In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of normal recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the IntercontinentalExchange Group, Inc. financial statements and notes thereto for the year ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.



**ICE BRAZIL TECHNOLOGY, LLC**  
**BALANCE SHEET**  
 As of December 31, 2017  
 (Unaudited)  
 (in thousands)

**CURRENT ASSETS:**

Due from affiliate	\$	339
<b>Current assets</b>		<u>339</u>
<b>Assets</b>		<u><u>339</u></u>

**LIABILITIES and EQUITY:**

**CURRENT LIABILITIES:**

Accounts payable and accrued liabilities		-
<b>Current liabilities</b>		<u>0</u>

**SHAREHOLDERS EQUITY:**

Retained earnings		339
<b>Equity</b>		<u>339</u>
<b>Total liabilities and equity</b>	\$	<u><u>339</u></u>

In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of normal recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the IntercontinentalExchange Group, Inc. financial statements and notes thereto for the year ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.



**ICE BRAZIL TECHNOLOGY, LLC**  
**STATEMENT OF INCOME**  
**Year Ended December 31, 2017**  
**(Unaudited)**  
**(in thousands)**

<b>Total revenue</b>	<u>\$ -</u>
<b>Selling, general and administrative</b>	<u>-</u>
<b>Operating expenses</b>	<u>-</u>
<b>Pre-tax net income</b>	<u>-</u>
<b>Income tax expense</b>	<u>-</u>
<b>Net income</b>	<u>-</u>

In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of normal recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the IntercontinentalExchange Group, Inc. financial statements and notes thereto for the year ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.

**ICE Clear U.S. Inc.**  
**Balance Sheet**  
**As of December 31, 2017**  
(Unaudited)  
(in thousands)

**Current assets:**

Cash and cash equivalents	\$ 9,263
Margin deposits and guaranty funds	4,196,495
Restricted Cash	80,500
Accounts receivable, net of allowance	31,180
Prepaid expenses and other current assets	1,333
<b>Current assets</b>	<b>4,318,771</b>

**Other non-current assets:**

Restricted cash	50,000
Deferred tax asset - noncurrent	1,349
<b>Other non-current assets</b>	<b>51,349</b>

<b>Total assets</b>	<b>\$ 4,370,120</b>
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**Current liabilities:**

Accounts payable and accrued liabilities	\$ 4,492
Accrued salaries and benefits	2,326
Margin deposits and guaranty funds	4,196,495
Other current liabilities	3,622
Deferred Revenue	1,012
Due to affiliates, net	15,362
Income taxes liability	88
<b>Current liabilities</b>	<b>4,223,397</b>

<b>Total liabilities</b>	<b>4,223,397</b>
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**Equity:**

Contributed capital	60,804
Retained earnings	85,919
<b>Total equity</b>	<b>146,723</b>

<b>Total liabilities and equity</b>	<b>\$ 4,370,120</b>
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In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of normal recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the IntercontinentalExchange Group, Inc. financial statements and notes thereto for the year ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.



**ICE Clear U.S. Inc.**  
**Statement of Income**  
**Year Ended December 31, 2017**  
(Unaudited)  
(in thousands)

**Revenues:**

Transaction and clearing fees, net	\$ 253,621
Other revenues	19,487
Service and license fees for affiliates	959
<b>Total revenues</b>	<b>274,067</b>

**Expenses:**

Compensation and benefits	13,398
Professional services	1,791
Technology and communication	166
Rent and occupancy	1,098
Selling, general and administrative	2,298
Service and license fees to affiliates	49,059

**Operating expenses** 67,810

**Operating income** 206,257

Other expenses, net 806

Interest expense to affiliates (407)

**Other expense, net** 399

**Pre-tax net income** 206,656

Income tax expense 83,920

**Net income** **\$ 122,736**

In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of normal recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the IntercontinentalExchange Group, Inc. financial statements and notes thereto for the year ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.



**ICE Credit Hub, LLC**  
**Balance Sheet**  
**As Of December 31, 2017**  
**(Unaudited)**  
**(In thousands)**

**CURRENT ASSETS**

Accounts Receivable		1
Prepaid expenses and Other Current Assets	\$	36
		<u>37</u>

**PROPERTY PLANT AND EQUIPMENT:**

Property and equipment cost		12,348
Accumulated depreciation		<u>(6,959)</u>
Property and equipment net		<u>5,389</u>

**OTHER NONCURRENT ASSETS**

Goodwill		4,776
Other noncurrent assets		<u>19</u>
Other noncurrent assets		<u>4,795</u>

<b>Total assets</b>	<b>\$</b>	<b><u>10,221</u></b>
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**LIABILITIES AND MEMBER EQUITY:**

**CURRENT LIABILITIES:**

Accounts payable and accrued liabilities	\$	234
Accrued salaries and benefits		639
Due to affiliate		<u>23,335</u>
Current liabilities		<u>24,208</u>

<b>Total liabilities</b>		<b><u>24,208</u></b>
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**EQUITY:**

Contributed capital		8,541
Retained deficit		<u>(22,528)</u>
Equity		<u>(13,987)</u>

<b>Total liabilities and equity</b>	<b>\$</b>	<b><u>10,221</u></b>
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In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of normal recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the Intercontinental Exchange, Inc. financial statements and notes thereto for the year ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.



**ICE Credit Hub, LLC**  
**Income Statement**  
**As Of December 31, 2017**  
**(Unaudited)**  
**(In thousands)**

**REVENUES:**

Transaction fees, net	\$ -
<b>Operating revenues</b>	<b>-</b>

**OPERATING EXPENSES:**

Compensation and benefits	505
Professional services	(10)
Rent and other occupancy	418
Technology	67
Selling, general & administration	44
Amortization & depreciation expense	975
Service & license fees to affiliates	1,364
<b>Operating expenses</b>	<b>3,363</b>

<b>Other Income net</b>	<b>(4)</b>
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<b>Pre-tax net loss</b>	<b>(3,367)</b>
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<b>Net loss</b>	<b>\$ (3,367)</b>
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In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of normal recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the Intercontinental Exchange, Inc. financial statements and notes thereto for the year ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.





**ICE Data Analytics LLC<sup>(1)</sup>**  
**Balance Sheet**  
**As of December 31, 2017**  
(Unaudited)  
(in thousands)

**Current assets:**

Accounts receivable, net of allowance	8,210
Prepaid expenses and other current assets	298
Income tax receivable	18
Due from affiliates, net	328,682

<b>Current assets</b>	<b>337,208</b>
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**Property and equipment:**

Property and equipment cost	9,551
Accumulated depreciation	(2,768)

<b>Property and equipment, net</b>	<b>6,783</b>
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**Other non-current assets:**

Other noncurrent assets	154
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<b>Other non-current assets</b>	<b>154</b>
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<b>Total assets</b>	<b>\$ 344,145</b>
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**LIABILITIES and EQUITY**

**Current liabilities:**

Accounts payable and accrued liabilities	416
Accrued salaries and benefits	2,791
Deferred revenue	210

<b>Current liabilities</b>	<b>3,417</b>
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**Non-current liabilities:**

Other noncurrent liabilities	1,164
Deferred revenue- long-term	8

<b>Non-current liabilities</b>	<b>1,172</b>
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<b>Total liabilities</b>	<b>4,589</b>
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**Equity:**

Contributed capital	446
Retained earnings	339,110

<b>Total equity</b>	<b>339,556</b>
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<b>Total liabilities and equity</b>	<b>\$ 344,145</b>
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In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of normal recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the Intercontinental Exchange, Inc. financial statements and notes thereto for the year ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.

(1) Formally known as BondEdge Solutions, LLC. Name change was effective on March 14, 2018.

**AMERICAN-ONE18-000055**



**ICE Data Analytics LLC<sup>(1)</sup>**  
**Statement of Income**  
**As of December 31, 2017**  
(Unaudited)  
(in thousands)

**Revenues:**

Data services fees, net	\$ 37,681
<b>Total revenues</b>	<b>37,681</b>

**Expenses:**

Compensation and benefits	11,136
Professional services	426
Acquisition-related transaction and integration costs	1,005
Technology and communication	1,783
Rent and occupancy	1,318
Selling, general and administrative	1,473
Depreciation and amortization	1,917
Affiliate expenses	19
<b>Operating expenses</b>	<b>19,077</b>
<b>Operating income</b>	<b>18,604</b>
Other expenses, net	1
<b>Pre-tax net income</b>	<b>18,603</b>
Income tax benefit	7
<b>Net income</b>	<b>\$ 18,610</b>

In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of normal recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the Intercontinental Exchange, Inc. financial statements and notes thereto for the year ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.

(1) Formally known as BondEdge Solutions, LLC. Name change was effective on March 14, 2018.

ICE Data Derivatives, Inc.<sup>(1)</sup>  
**Balance Sheet**  
**As of December 31, 2017**  
**(Unaudited)**  
**(in thousands)**

**CURRENT ASSETS:**

Cash and cash equivalents	\$ 3,374
Accounts receivable, net of allowance	17,853
Prepaid expenses and other current assets	346
<b>Current assets</b>	<b><u>21,573</u></b>

**PLANT PROPERTY AND EQUIPMENT:**

Property and equipment	27,030
Accumulated depreciation	(14,188)
<b>Property and equipment, net</b>	<b><u>12,842</u></b>

**OTHER NON-CURRENT ASSETS:**

Goodwill	306,722
Other intangibles, net	24,970
<b>Other non-current assets</b>	<b><u>331,692</u></b>

<b>Total assets</b>	<b><u><u>\$ 366,107</u></u></b>
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**LIABILITIES and EQUITY:**

**CURRENT LIABILITIES:**

Accounts payable and accrued liabilities	\$ 3,293
Accrued salaries and benefits	414
Due to affiliate	28,254
Deferred revenue, current	26,838
Income tax payable	193
<b>Current liabilities</b>	<b><u>58,992</u></b>

**NON-CURRENT LIABILITIES:**

Deferred tax liability- non-current	9,580
Other non-current liabilities	922
<b>Non-current liabilities</b>	<b><u>10,502</u></b>

<b>Total liabilities</b>	<b>69,494</b>
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**EQUITY:**

Additional paid-in-capital	3,701
Retained earnings	292,912
<b>Total equity</b>	<b><u>296,613</u></b>

<b>Total Liabilities and equity</b>	<b><u><u>\$ 366,107</u></u></b>
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In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of normal recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the IntercontinentalExchange Group, Inc. financial statements and notes thereto for the year ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.

**ICE Data Derivatives, Inc.<sup>(1)</sup>**  
**Statement of Income**  
**Year Ended December 31, 2017**  
**(Unaudited)**  
**(in thousands)**

Data services fees, net	\$ 54,145
Affiliate revenue	19
<b>Total revenue</b>	<b>54,164</b>
Compensation and benefits	2,318
Professional services	118
Technology and communication	11,256
Rent and occupancy	153
Selling, general and administrative	394
Depreciation and amortization	18,506
Intercompany related expense	23,544
<b>Operating expenses</b>	<b>56,289</b>
<b>Operating loss</b>	<b>(2,125)</b>
Interest income	31
Other expense, net	574
<b>Other expense, net</b>	<b>(543)</b>
<b>Pre-tax net loss</b>	<b>(2,668)</b>
<b>Income tax expense</b>	<b>24,695</b>
<b>Net loss</b>	<b>(27,363)</b>

In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of normal recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the IntercontinentalExchange Group, Inc. financial statements and notes thereto for the year ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.

(1) Formally known as Super Derivatives, Inc. Name change was effective on March 15, 2018.



**ICE Data Indices, LLC**  
**BALANCE SHEET**  
**As of December 31, 2017**  
**(Unaudited)**  
**(in thousands)**

**CURRENT ASSETS:**

Cash and cash equivalents	1,760
Accounts receivable, net of allowance	7,952
Prepaid expenses and other current assets	363
<b>Current assets</b>	<b>10,075</b>

**PLANT PROPERTY AND EQUIPMENT:**

Property and equipment	13
<b>Property and equipment, net</b>	<b>13</b>

**NON-CURRENT ASSETS:**

Goodwill	75,695
Other intangibles, net	64,728
<b>Other non-current assets</b>	<b>140,423</b>
<b>Assets</b>	<b>150,511</b>

**LIABILITIES and EQUITY:**

**CURRENT LIABILITIES:**

Accounts payable and accrued liabilities	1,765
Accrued salaries and benefits	747
Due to affiliates	144,627
Deferred revenue	(961)
<b>Current liabilities</b>	<b>146,178</b>
<b>Liabilities</b>	<b>146,178</b>

**SHAREHOLDERS EQUITY:**

Retained earnings	4,333
<b>Equity</b>	<b>4,333</b>
<b>Total liabilities and equity</b>	<b>\$ 150,511</b>

In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of normal recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the IntercontinentalExchange Group, Inc. financial statements and notes thereto for the year ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.



**ICE Data Indices, LLC**  
**STATEMENT OF INCOME**  
**Year Ended December 31, 2017**  
**(Unaudited)**  
**(in thousands)**

Transaction and clearing fees, net	
Data services fees, net	\$ 9,497
<b>Total revenue</b>	<b>9,497</b>
Compensation and benefits	1,671
Professional services	159
Technology and communication	1,799
Selling, general and administrative	4
Depreciation and amortization	1,172
<b>Operating expenses</b>	<b>4,805</b>
<b>Operating income</b>	<b>4,692</b>
Affiliate interest expense	397
<b>Other expense, net</b>	<b>397</b>
<b>Pre-tax net income</b>	<b>4,295</b>
<b>Net income</b>	<b>4,295</b>

In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of normal recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the IntercontinentalExchange Group, Inc. financial statements and notes thereto for the year ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.



**ICE Data Investment Group, LLC**  
**Balance Sheet**  
**As Of December 31, 2017**  
**(Unaudited)**

**ASSETS:**

Other assets		1
<b>Total assets</b>	<b>\$</b>	<b>1</b>

**LIABILITIES AND EQUITY:**

**EQUITY:**

Member capital		1
<b>Equity</b>		<b>1</b>
<b>Total equity</b>	<b>\$</b>	<b>1</b>

In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of non-recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the Intercontinental Exchange, Inc. financial statements and notes thereto for the year ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.



ICE Data LP Controller: ICE Data Mgmt Grp LLC

Balance Sheet

As Of December 31, 2017

(Unaudited)

(In thousands)

**CURRENT ASSETS:**

Accounts receivable, net of allowance	\$	4,304
Prepays and other current assets		-
Current income tax receivable		1
Due from affiliates		91,332
<b>Current Assets</b>		<b>95,637</b>

**PROPERTY PLANT AND EQUIPMENT:**

Property and equipment cost		21
Accumulated depreciation		(21)
<b>Property and equipment net</b>		<b>0</b>

**OTHER NONCURRENT ASSETS**

Deferred tax asset - noncurrent		282
<b>Other noncurrent assets</b>		<b>282</b>

<b>Total assets</b>	<b>\$</b>	<b>95,919</b>
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**LIABILITIES AND EQUITY:**

**CURRENT LIABILITIES:**

Accounts payable and accrued liabilities	\$	24
Accrued salaries and benefits		740
Income taxes payable		(39)
Deferred revenue		1,642
<b>Current liabilities</b>		<b>2,367</b>

<b>Total liabilities</b>		<b>2,367</b>
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**EQUITY:**

Contributed capital		4,935
Retained earnings		88,617
<b>Equity</b>		<b>93,552</b>

<b>Total liabilities and equity</b>	<b>\$</b>	<b>95,919</b>
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In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of no recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audit financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the Intercontinental Exchange, Inc. financial statements and notes thereto for the year ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.





**ICE Data LP Controller: ICE Data Mgmt Grp LLC**  
**Income Statement**  
**Year Ended December 31, 2017**  
**(Unaudited)**  
**(In thousands)**

**REVENUES:**

Market data revenue	\$ 18,121
Revenue from affiliate, net	1,299
<b>Operating revenues</b>	<b>19,420</b>

**OPERATING EXPENSES:**

Compensation and benefits	3,718
Professional Services	163
Rent and other occupancy	240
Technology and communication	118
Selling, general & administration	126
Intercompany Expense	2,706
<b>Operating expenses</b>	<b>7,071</b>

<b>Pre-tax net income</b>	<b>12,349</b>
Income tax expense	3,845
<b>Net income</b>	<b>\$ 8,504</b>

In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of normal recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the Intercontinental Exchange, Inc. financial statements and notes thereto for the year ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.



**ICE Data Management Group, LLC**  
**Balance Sheet**  
**As Of December 31, 2017**  
**(Unaudited)**

**ASSETS:**

Other assets	1
Total assets	<u>\$ 1</u>

**LIABILITIES AND MEMBER EQUITY:**

**EQUITY:**

Member capital	1
Equity	<u>1</u>
Total Equity	<u>\$ 1</u>

In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of non-recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the Intercontinental Exchange, Inc. financial statements and notes thereto for the year ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.



**ICE Data Pricing & Reference Data, LLC<sup>(1)</sup>**  
**Balance Sheet**  
**As of December 31, 2017**  
**(Unaudited)**  
**(in thousands)**

**Current assets:**

Cash and cash equivalents	1,273
Accounts receivable, net of allowance	162,673
Prepaid expenses and other current assets	2,931
Income tax receivable	13
Due from affiliates, net	2,068,768
<b>Current assets</b>	<b>2,235,658</b>

**Property and equipment:**

Property and equipment cost	49,262
Accumulated depreciation	(17,668)
<b>Property and equipment, net</b>	<b>31,594</b>

<b>Total assets</b>	<b>\$ 2,267,252</b>
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**LIABILITIES and EQUITY**

**Current liabilities:**

Accounts payable and accrued liabilities	21,069
Accrued salaries and benefits	11,757
Deferred revenue	1,240
<b>Current liabilities</b>	<b>34,066</b>

**Non-current liabilities:**

Other noncurrent liabilities	3,071
<b>Non-current liabilities</b>	<b>3,071</b>

<b>Total liabilities</b>	<b>37,137</b>
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**Equity:**

Contributed capital	3,790
Retained earnings	2,226,325
<b>Total equity</b>	<b>2,230,115</b>

<b>Total liabilities and equity</b>	<b>\$ 2,267,252</b>
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In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of normal recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the Intercontinental Exchange, Inc. financial statements and notes thereto for the year ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.

(1) Formally known as Interactive Data Pricing & Reference Data, LLC. Name change was effective on March 14, 2018.

**CONFIDENTIAL TREATMENT REQUESTED**

**AMERICAN-ONE18-000065**



**ICE Data Pricing & Reference Data, LLC<sup>(1)</sup>**  
**Statement of Income**  
**As of December 31, 2017**  
(Unaudited)  
(in thousands)

**Revenues:**

Data services fees, net	584,111
Affiliate revenue	5,929
<b>Total revenues</b>	<b>590,040</b>

**Expenses:**

Compensation and benefits	71,597
Professional services	8,193
Acquisition-related transaction and integration costs	1,771
Technology and communication	55,243
Rent and occupancy	5,697
Selling, general and administrative	1,898
Depreciation and amortization	8,685
Affiliate expense	27,231
<b>Operating expenses</b>	<b>180,315</b>

<b>Operating income</b>	<b>409,725</b>
Other income, net	15
<b>Pre-tax net income</b>	<b>409,740</b>
Income tax expense	2
<b>Net income</b>	<b>\$ 409,738</b>

In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of normal recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the Intercontinental Exchange, Inc. financial statements and notes thereto for the year ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.

(1) Formally known as Interactive Data Pricing & Reference Data, LLC. Name change was effective on March 14, 2018.



**ICE Data Services Wireless LLC**  
**BALANCE SHEET**  
**As of December 31, 2017**  
**(Unaudited)**  
**(in thousands)**

**CURRENT ASSETS:**

Cash and cash equivalents	\$	1,536
Accounts receivable, net of allowance		1,074
Due from affiliates		540
Prepaid expenses and other current assets		19
<b>Current assets</b>		<b>3,169</b>

**PLANT PROPERTY AND EQUIPMENT:**

Property and equipment		4,788
Accumulated depreciation		(799)
<b>Property and equipment, net</b>		<b>3,989</b>

**NON-CURRENT ASSETS:**

Goodwill		299
Other intangibles, net		3,312
Other non-current assets		95
<b>Other non-current assets</b>		<b>3,706</b>
<b>Assets</b>		<b>10,864</b>

**LIABILITIES and EQUITY:**

**CURRENT LIABILITIES:**

Accounts payable and accrued liabilities		2,170
Other current liabilities		35
Deferred revenue		170
<b>Current liabilities</b>		<b>2,375</b>
<b>Liabilities</b>		<b>2,375</b>

**SHAREHOLDERS EQUITY:**

Retained earnings		8,489
<b>Equity</b>		<b>8,489</b>
<b>Total liabilities and equity</b>	<b>\$</b>	<b>10,864</b>

In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of normal recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the IntercontinentalExchange Group, Inc. financial statements and notes thereto for the year ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.



**ICE Data Services Wireless LLC**  
**STATEMENT OF INCOME**  
**Year Ended December 31, 2017**  
**(Unaudited)**  
**(in thousands)**

Data services fees, net	4,789
<b>Total revenue</b>	<b>4,789</b>
Compensation and benefits	324
Professional services	2,282
Technology and communication	1
Rent and occupancy	298
Selling, general and administrative	1,187
Depreciation and amortization	150
Affiliate expense	4,242
<b>Operating expenses</b>	<b>4,242</b>
<b>Operating income</b>	<b>547</b>
Other expense, net	1
<b>Other expense, net</b>	<b>1</b>
<b>Pre-tax net income</b>	<b>546</b>
<b>Net income</b>	<b>546</b>

In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of normal recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the IntercontinentalExchange Group, Inc. financial statements and notes thereto for the year ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.



**ICE Data Services, Inc.<sup>(1)</sup>**  
**Balance Sheet**  
**As of December 31, 2017**  
(Unaudited)  
(in thousands)

**Current assets:**

Prepaid expenses and other current assets

7,639

**Current assets**

7,639

**Property and equipment:**

Property and equipment cost

104,745

Accumulated depreciation

(24,698)

**Property and equipment, net**

80,047

**Other non-current assets:**

Deferred tax assets- non-current

42,142

Other noncurrent assets

153

**Other non-current assets**

42,295

**Total assets**

\$ 129,981

**LIABILITIES and EQUITY**

**Current liabilities:**

Accounts payable and accrued liabilities

19,433

Accrued salaries and benefits

11,576

Other current liabilities

Current income tax payable

2,775

Due to affiliates, net

1,776,802

**Current liabilities**

1,810,586

**Non-current liabilities:**

Other noncurrent liabilities

27,786

**Non-current liabilities**

27,786

**Total liabilities**

1,838,372

**Equity:**

Contributed capital

(679,234)

Retained deficit

(1,029,157)

**Total equity**

(1,708,391)

**Total liabilities and equity**

\$ 129,981

In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of normal recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the Intercontinental Exchange, Inc. financial statements and notes thereto for the year ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.

(1) Formally known as Interactive Data Corporation. Name change was effective on March 15, 2018.

**CONFIDENTIAL TREATMENT REQUESTED**

**AMERICAN-ONE18-000069**



**ICE Data Services, Inc.<sup>(1)</sup>**  
**Statement of Income**  
**As of December 31, 2017**  
(Unaudited)  
(in thousands)

**Revenues:**

Data services fees, net	\$ (68)
Affiliate revenue	84
<b>Total revenues</b>	<b>16</b>

**Expenses:**

Compensation and benefits	45,482
Professional services	5,432
Acquisition-related transaction and integration costs	6,543
Technology and communication	15,587
Rent and occupancy	3,446
Selling, general and administrative	4,495
Depreciation and amortization	12,574
Affiliate expense	141
<b>Operating expenses</b>	<b>93,700</b>
<b>Operating loss</b>	<b>(93,684)</b>
Affiliate interest income	6,441
Other expense, net	109
<b>Pre-tax net loss</b>	<b>(87,352)</b>
Income tax expense	163,321
<b>Net loss</b>	<b>\$ (250,673)</b>

In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of normal recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the Intercontinental Exchange, Inc. financial statements and notes thereto for the year ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.

(1) Formally known as Interactive Data Corporation. Name change was effective on March 15, 2018.





**ICE eConfirm LLC**  
**BALANCE SHEET**  
**As of December 31, 2017**  
**(Unaudited)**  
**(in thousands)**

**CURRENT ASSETS:**

Cash and cash equivalents	108
Accounts receivable, net of allowance	144
Due from affiliates	2,369
<b>Current assets</b>	<b>3,221</b>
<b>Assets</b>	<b>3,221</b>

**LIABILITIES and EQUITY:**

**CURRENT LIABILITIES:**

Accounts payable and accrued liabilities	13
<b>Current liabilities</b>	<b>13</b>

**NON-CURRENT LIABILITIES:**

Other non-current liabilities	362
<b>Non-current liabilities</b>	<b>362</b>
<b>Liabilities</b>	<b>375</b>

**SHAREHOLDERS EQUITY:**

Retained earnings	2,846
<b>Equity</b>	<b>2,846</b>
<b>Total liabilities and equity</b>	<b>\$ 3,221</b>

In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of normal recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the IntercontinentalExchange Group, Inc. financial statements and notes thereto for the year ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.



**ICE eConfirm LLC**  
**STATEMENT OF INCOME**  
**Year Ended December 31, 2017**  
**(Unaudited)**  
**(in thousands)**

Transaction and clearing fees, net	\$ 2,719
<b>Total revenue</b>	<b>2,719</b>
Selling, general and administrative	-
Affiliate expense	623
<b>Operating expenses</b>	<b>623</b>
<b>Operating income</b>	<b>2,096</b>
<b>Pre-tax net income</b>	<b>2,096</b>
<b>Net income</b>	<b>2,096</b>

In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of normal recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the IntercontinentalExchange Group, Inc. financial statements and notes thereto for the year ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.



**ICE Execution Services LLC**  
**Balance Sheet**  
**As Of December 31, 2017**  
**(Unaudited)**  
**(In thousands)**

**CURRENT ASSETS:**

Cash and cash equivalents	\$	-
Due to Affiliates		1,305
<b>Current assets</b>		<b>1,305</b>

**PROPERTY PLANT AND EQUIPMENT**

Property and equipment cost		13
Accumulated depreciation		(13)
<b>Property and equipment, net</b>		<b>-</b>
<b>Total Assets</b>	<b>\$</b>	<b>1,305</b>

**LIABILITIES AND MEMBER EQUITY:**

<b>Total liabilities</b>		<b>-</b>
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**EQUITY:**

Contributed Capital		759
Retained earnings		546
<b>Equity</b>		<b>1,305</b>
<b>Total liabilities and equity</b>	<b>\$</b>	<b>1,305</b>

In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of normal recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the Intercontinental Exchange, Inc. financial statements and notes thereto for the year ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.



**ICE Execution Services LLC**  
**Income Statement**  
**Year Ended December 31, 2017**  
**(Unaudited)**  
**(In thousands)**

<b>Total revenue</b>		<u><u>0</u></u>
<b>OPERATING EXPENSES:</b>		
Selling, general & administration	\$	<u>2</u>
<b>Operating expenses</b>		<u><u>2</u></u>
<b>Net loss</b>	\$	<u><u>(2)</u></u>

In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of normal recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the Intercontinental Exchange, Inc. financial statements and notes thereto for the year ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.



**ICE Futures U.S. Inc.**  
**Balance Sheet**  
**As of December 31, 2017**  
(Unaudited)  
(in thousands)

**Current assets:**

Cash and cash equivalents	\$ 9,063
Restricted cash	29,500
Income tax receivable	68
Accounts receivable	(2,804)
Prepaid expenses and other current assets	51
Due from affiliates, net	67,092
<b>Current assets</b>	<b>102,970</b>

**Property and equipment:**

Property and equipment cost	2,430
Accumulated depreciation	(2,277)
<b>Property and equipment, net</b>	<b>153</b>

**Other non-current assets:**

Goodwill	890,572
Other intangibles assets, net	278,122
Other noncurrent assets	56,192
<b>Other non-current assets</b>	<b>1,224,886</b>

<b>Total assets</b>	<b>\$ 1,328,009</b>
---------------------	---------------------

**LIABILITIES and EQUITY**

**Current liabilities:**

Accounts payable and accrued liabilities	\$ 5,733
Accrued salaries and benefits	2,416
Other current liabilities	0
Current portion of licensing agreement	0
Income tax payable - current	(120)
Deferred revenue	39
<b>Current liabilities</b>	<b>8,068</b>

**Non-current liabilities:**

Deferred tax liabilities - noncurrent	56,078
Other noncurrent liabilities	3,831
<b>Non-current liabilities</b>	<b>59,909</b>

<b>Total liabilities</b>	<b>67,977</b>
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**Equity:**

Contributed capital	1,121,386
Retained earnings	138,646
<b>Total equity</b>	<b>1,260,032</b>

<b>Total liabilities and equity</b>	<b>\$ 1,328,009</b>
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In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of normal recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the IntercontinentalExchange Group, Inc. financial statements and notes thereto for the year ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.

**CONFIDENTIAL TREATMENT REQUESTED**

**AMERICAN-ONE18-000075**



**ICE Futures U.S. Inc.**  
**Statement of Income**  
**Year Ended December 31, 2017**  
(Unaudited)  
(in thousands)

<b>Revenues:</b>	
Transaction and clearing fees, net	\$ 60,044
Market Data Fees	320
Revenues from affiliates	113,454
Other revenues	1,288
<b>Total revenues</b>	<b>175,106</b>
<b>Expenses:</b>	
Compensation and benefits	14,025
Professional services	96
Technology and communication	783
Rent and occupancy	1,010
Selling, general and administrative	650
Depreciation and amortization	12,023
Service and license fees to affiliates	47,154
<b>Operating expenses</b>	<b>75,741</b>
<b>Operating income</b>	<b>99,365</b>
Other expenses, net	6
<b>Other expense, net</b>	<b>6</b>
<b>Pre-tax net income</b>	<b>99,371</b>
Income tax expense	14,395
<b>Net income</b>	<b>\$ 84,976</b>

In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of normal recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the Intercontinental Exchange Group, Inc. financial statements and notes thereto for the year ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.



**ICE Markets, Inc.**  
**Balance Sheet**  
**As Of December 31, 2017**  
**(Unaudited)**  
**(in thousands)**

**CURRENT ASSETS:**

Due from affiliates	17,650
<b>Current assets</b>	<b>17,650</b>
<b>Total assets</b>	<b>\$ 17,650</b>

**LIABILITIES AND MEMBER EQUITY:**

**CURRENT LIABILITIES:**

Accounts payable and accrued liabilities	\$ 35
Accrued salaries and benefits	1,532
Income taxes payable	(121)
<b>Current liabilities</b>	<b>1,446</b>

**NON-CURRENT LIABILITIES:**

Deferred tax liabilities - noncurrent	(1,383)
<b>Non-current liabilities</b>	<b>(1,383)</b>

<b>Total liabilities</b>	<b>63</b>
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**EQUITY:**

Contributed Capital	11,184
Retained earnings	6,403
<b>Equity</b>	<b>17,587</b>

<b>Total liabilities and equity</b>	<b>\$ 17,650</b>	\$
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In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of normal recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the Intercontinental Exchange, Inc. financial statements and notes thereto for the year ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.



**ICE Markets, Inc.**  
**Income Statement**  
**Year Ended December 31, 2017**  
**(Unaudited)**  
**(in thousands)**

**REVENUES:**

Affiliate revenue	\$ 13,291
<b>Operating Revenues</b>	<b>13,291</b>

**OPERATING EXPENSES:**

Compensation and benefits	7,467
Professional services	465
Rent and occupancy	341
Technology and communication	7
Selling, general & administrative	419
Depreciation & amortization expense	1
Intercompany expense	3,218
<b>Operating expenses</b>	<b>11,918</b>

**OTHER EXPENSE:**

<b>Other expense</b>	<b>0</b>
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<b>Pre-tax net income</b>	<b>1,373</b>
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Income tax expense	(849)
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<b>Net income</b>	<b>\$ 2,222</b>
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In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of normal recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the Intercontinental Exchange, Inc. financial statements and notes thereto for the year ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.





**ICE NGX US, Inc.<sup>(1)</sup>**  
**BALANCE SHEET**  
**As of December 31, 2017**  
**(Unaudited)**  
**(in thousands)**

**CURRENT ASSETS:**

Cash and cash equivalents	814
Due from affiliates	249
<b>Current assets</b>	<b>1,063</b>

**NON-CURRENT ASSETS:**

Deferred tax liabilities- non-current	7
<b>Other non-current assets</b>	<b>7</b>
<b>Assets</b>	<b>1,070</b>

**LIABILITIES and EQUITY:**

**CURRENT LIABILITIES:**

Accounts payable and accrued liabilities	282
Accrued salaries and benefits	15
Income tax payable	6
SEC fees payable	
<b>Current liabilities</b>	<b>303</b>
<b>Liabilities</b>	<b>303</b>

**SHAREHOLDERS EQUITY:**

Retained earnings	783
Accumulated other comprehensive income	(16)
<b>Equity</b>	<b>767</b>
<b>Total liabilities and equity</b>	<b>\$ 1,070</b>

In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of normal recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the Intercontinental Exchange Group, Inc. financial statements and notes thereto for the year ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.



**ICE NGX US, Inc.<sup>(1)</sup>**  
**STATEMENT OF INCOME**  
**Year Ended December 31, 2017**  
**(Unaudited)**  
**(in thousands)**

Other revenues	\$ 84
<b>Total revenue</b>	<b>84</b>
Compensation and benefits	80
<b>Operating expenses</b>	<b>80</b>
<b>Operating income</b>	<b>4</b>
<b>Pre-tax net income</b>	<b>4</b>
<b>Income tax expense</b>	<b>1</b>
<b>Net income</b>	<b>3</b>

In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of normal recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the IntercontinentalExchange Group, Inc. financial statements and notes thereto for the year ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.



**ICE PROCESSING LLC**  
**BALANCE SHEET**  
**As of December 31, 2017**  
**(unaudited)**  
**(In thousands)**

**OTHER NON-CURRENT ASSETS:**

Investment in affiliates	\$ 8,536
<b>Other non-current assets</b>	<b>8,536</b>
<b>Assets</b>	<b>\$ 8,536</b>

**LIABILITIES and EQUITY:**

**CURRENT LIABILITIES:**

Due to affiliates, net	\$ 8,583
<b>Current liabilities</b>	<b>8,583</b>

**EQUITY:**

Retained deficit	(46)
<b>Equity</b>	<b>(46)</b>
<b>Total Liabilities and Equity</b>	<b>\$ 8,536</b>

In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of normal adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the Intercontinental Exchange, Inc. financial statements and notes thereto for the year ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.



**ICE PROCESSING LLC**  
**STATEMENT OF INCOME**  
**Year Ended December 31, 2017**  
**(Unaudited)**  
**(In thousands)**

Total revenue	<u>-</u>
Operating expenses	<u>-</u>
Operating income	
Other income	<u>-</u>
Pre-tax net income	<u>-</u>
Income tax expense	<u>-</u>
Net income	<u>\$ -</u>

In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of normal recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the IntercontinentalExchange Group, Inc. financial statements and notes thereto for the year ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.



**ICE Trade Vault, LLC**  
**Balance Sheet**  
**As Of December 31, 2017**  
**(Unaudited)**  
**(in thousands)**

<b>Current assets:</b>		
Cash and cash equivalents	\$	2,172
Restricted cash		1,550
Accounts receivable, net of allowance		1,998
<b>Current assets</b>		<u>5,720</u>
<b>Property and equipment:</b>		
Property and equipment cost		1,440
Accumulated depreciation		(850)
<b>Property and equipment, net</b>		<u>590</u>
<b>Total assets</b>	\$	<u>6,310</u>
<b>Liabilities and Equity</b>		
<b>Current liabilities:</b>		
Accounts payable and accrued liabilities	\$	41
Accrued salaries and benefits		336
Due to affiliate		2,843
<b>Current liabilities</b>		<u>3,220</u>
<b>Non-current liabilities:</b>		
Other Non Current Liabilities		-
<b>Non-current liabilities</b>		<u>0</u>
<b>Total liabilities</b>		<u>3,220</u>
<b>EQUITY:</b>		
Contributed capital		2,488
Retained earnings		602
<b>Total equity</b>		<u>3,090</u>
<b>Total liabilities and equity</b>	\$	<u>6,310</u>

In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of normal recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of IntercontinentalExchange Group, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the IntercontinentalExchange Group, Inc. financial statements and notes thereto for the year ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.



**ICE Trade Vault, LLC**  
**Income Statement**  
**Year Ended December 31, 2017**  
**(Unaudited)**  
**(in thousands)**

**Revenues:**

Transaction fees, net	\$ 9,433
Revenues from affiliates	11,957
<b>Total revenues</b>	<b>21,390</b>

**Operating expenses:**

Compensation and benefits	1,703
Professional services	114
Rent and other occupancy	106
Technology	3
Selling, general & administration	60
Amortization and depreciation expense	361
Service and license fees to affiliate	2,164
<b>Total operating expenses</b>	<b>4,511</b>
<b>Operating Income</b>	<b>16,879</b>

**Other income:**

Other income, net	0
Interest income from affiliates	0
<b>Other Income</b>	<b>0</b>

<b>Net Income</b>	<b>\$ 16,879</b>
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In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of normal recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the Intercontinental Exchange, Inc. financial statements and notes thereto for the year ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.



**ICE UK GP, LLC**  
**Balance Sheet**  
**As Of December 31, 2017**  
**(Unaudited)**

**ASSETS:**

Other assets		1
<b>Total assets</b>	<b>\$</b>	<b>1</b>

**LIABILITIES AND MEMBER EQUITY:**

**EQUITY:**

Member capital		1
<b>Equity</b>		<b>1</b>
<b>Total Equity</b>	<b>\$</b>	<b>1</b>

In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of nor recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the IntercontinentalExchange Group, Inc. financial statements and notes thereto for the ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.



**ICE UK LP, LLC**  
**Balance Sheet**  
**As Of December 31, 2017**  
**(Unaudited)**

**ASSETS:**

Other assets	1
<b>Total assets</b>	<b>\$ 1</b>

**LIABILITIES AND MEMBER EQUITY:**

**EQUITY:**

Member capital	1
<b>Equity</b>	<b>1</b>
<b>Total Equity</b>	<b>\$ 1</b>

In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of nor recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the Intercontinental Exchange Group, Inc. financial statements and notes thereto for the ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.





**ICE U.S. Holding Company GP, LLC**

**Balance Sheet**

**As Of December 31, 2017**

**(Unaudited)**

**(in thousands)**

**Non-current assets:**

Investment in affiliate	\$	15
<b>Non-current assets</b>		<b>15</b>
<b>Total assets</b>		<b>15</b>

**Liabilities and Equity**

**Equity:**

Retained earnings		15
<b>Total equity</b>		<b>15</b>
<b>Total liabilities and equity</b>	\$	<b>15</b>

In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of normal recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the Intercontinental Exchange, Inc. financial statements and notes thereto for the year ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.



**ICE U.S. Holding Company LP**  
**Balance Sheet**  
**As Of December 31, 2017**  
**(Unaudited)**  
**(in thousands)**

**Current assets:**

Cash and Cash Equivalents	\$	2,001
Income taxes receivable		0

<b>Current assets</b>		<b>2,001</b>
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<b>Investment in subsidiary</b>		<b>112,993</b>
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<b>Total assets</b>	<b>\$</b>	<b>114,994</b>
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**Liabilities and Equity**

**Current liabilities:**

Accounts Payable and Accrued Liabilities	16
Income taxes payable	4,580
Due to affiliate	0

<b>Total liabilities</b>	<b>\$</b>	<b>4,596</b>
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**Equity:**

Contributed capital	44,858
Retained deficit	65,540

<b>Total equity</b>	<b>110,398</b>
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<b>Total liabilities and equity</b>	<b>\$</b>	<b>114,994</b>
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In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of normal recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the Intercontinental Exchange, Inc. financial statements and notes thereto for the year ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.



**ICE U.S. Holding Company LP**  
**Income Statement**  
**Year Ended December 31, 2017**  
**(Unaudited)**  
**(in thousands)**

<b>Total revenues</b>	<u>-</u>
<b>Operating expenses:</b>	
Professional Services	0
Selling, general & administration	12
<b>Total operating expenses</b>	<u>12</u>
<b>Operating loss</b>	<u>(12)</u>
<b>Other Income</b>	<u>0</u>
<b>Provision for taxes</b>	<u>0</u>
<b>Net Loss</b>	<u><u>\$ (12)</u></u>

In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of normal recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the Intercontinental Exchange, Inc. financial statements and notes thereto for the year ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.



**ICE US OTC Commodity Markets, LLC**

**Balance Sheet**

**As Of December 31, 2017**

**(Unaudited)**

**(In thousands)**

**CURRENT ASSETS:**

Cash and cash equivalents	\$	7,201
Accounts receivable, net of allowance		16,127
Current income tax receivable		21
Due from affiliate		16,367
<b>Current assets</b>		<b>39,716</b>
<b>Total assets</b>	<b>\$</b>	<b>39,716</b>

**LIABILITIES AND MEMBER EQUITY:**

**CURRENT LIABILITIES:**

Accounts payable and accrued liabilities	\$	10,927
<b>Current liabilities</b>		<b>10,927</b>
<b>Total liabilities</b>		<b>10,927</b>

**EQUITY:**

Additional paid-in capital		193
Retained earnings		28,596
<b>Equity</b>		<b>28,789</b>
<b>Total liabilities and equity</b>	<b>\$</b>	<b>39,716</b>

In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of normal adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the Intercontinental Exchange, Inc. financial statements and notes thereto for the year ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.



**ICE US OTC Commodity Markets, LLC**  
**Income Statement**  
**As Of December 31, 2017**  
**(Unaudited)**  
**(In thousands)**

**REVENUES:**

Transaction fees, net	\$ 24,894
Market data fees	63,142
Other revenue	9
Intercompany revenue	165
<b>Operating revenues</b>	<b>88,210</b>

**OPERATING EXPENSES:**

Selling, general & administration	634
Service & license fees to affiliates	56,090
<b>Operating expenses</b>	<b>56,724</b>
<b>Operating income</b>	<b>31,486</b>

**OTHER INCOME:**

<b>Other income</b>	<b>438</b>
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<b>Net income</b>	<b>\$ 31,924</b>
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In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of normal recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the Intercontinental Exchange, Inc. financial statements and notes thereto for the year ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.



**IGLOO Intermediate Corporation**  
**Balance Sheet**  
**As of December 31, 2017**  
(Unaudited)  
(in thousands)

**Current assets:**

Due from affiliates

\$ 17,410

**Current assets**

17,410

**Non-current assets:**

Goodwill

3,231,838

Other intangibles, net

2,172,815

Deferred tax assets - noncurrent

Investment in subsidiary

10,096

**Non-current assets**

5,414,749

**Total assets**

\$ 5,432,159

**LIABILITIES and EQUITY**

**Non-current liabilities:**

Deferred tax liabilities - noncurrent

614,273

**Current liabilities**

614,273

**Total liabilities**

614,273

**Equity:**

Contributed capital

1,474

Retained deficit

4,816,412

**Total equity**

4,817,886

**Total liabilities and equity**

\$ 5,432,159

In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of normal recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the Intercontinental Exchange, Inc. financial statements and notes thereto for the year ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.



**IGLOO Intermediate Corporation**  
**Statement of Income**  
**As of December 31, 2017**  
(Unaudited)  
(in thousands)

<b>Total revenues</b>	<u>-</u>
<b>Expenses:</b>	
Depreciation and amortization	<u>133,581</u>
<b>Operating expenses</b>	<u>133,581</u>
<b>Operating loss</b>	<u>(133,581)</u>
Other expenses, net	<u>-</u>
<b>Pre-tax net loss</b>	<u>(133,581)</u>
Income tax benefit	<u>301,588</u>
<b>Net income</b>	<u><u>\$ 168,007</u></u>

In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of normal recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the Intercontinental Exchange, Inc. financial statements and notes thereto for the year ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.



**Interactive Data Holdings Corporation**  
**Balance Sheet**  
**As of December 31, 2017**  
(Unaudited)  
(in thousands)

<b>Current assets:</b>	
Due from affiliates, net	\$ 7,828
Current income tax receivable	149
<b>Current assets</b>	<u>7,977</u>
<b>Other non-current assets:</b>	
Deferred tax assets - noncurrent	799
<b>Other non-current assets</b>	<u>799</u>
<b>Total assets</b>	<u><u>\$ 8,776</u></u>
<b>LIABILITIES and EQUITY</b>	
<b>Current liabilities:</b>	
Accounts payable and accrued liabilities	-
<b>Current liabilities</b>	<u>-</u>
<b>Total liabilities</b>	<u>-</u>
<b>Equity:</b>	
Retained earnings	8,776
<b>Total equity</b>	<u>8,776</u>
<b>Total liabilities and equity</b>	<u><u>\$ 8,776</u></u>

In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of normal recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the Intercontinental Exchange, Inc. financial statements and notes thereto for the year ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.





**Interactive Data Holdings Corporation**  
**Statement of Income**  
**As of December 31, 2017**  
(Unaudited)  
(in thousands)

<b>Total revenues</b>	<u>-</u>
<b>Selling, general and administrative</b>	<u>-</u>
<b>Operating expenses</b>	<u>-</u>
<b>Operating loss</b>	-
<b>Pre-tax net loss</b>	-
<b>Income tax expense</b>	<u>4,175</u>
<b>Net loss</b>	<u><u>4,175</u></u>

In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of normal recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the Intercontinental Exchange, Inc. financial statements and notes thereto for the year ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.



**Interactive Data Online Properties. Inc.**  
**Balance Sheet**  
**As of December 31, 2017**  
(Unaudited)  
(in thousands)

**Current assets:**

Accounts receivable, net of allowance  
Due to affiliates

84

58,245

**Current assets**

58,329

**Non-current assets:**

Deferred tax assets- non-current

295

**Non-current assets**

295

**Total assets**

\$ 58,624

**LIABILITIES and EQUITY**

**Current liabilities:**

Deferred Revenue

149

**Current liabilities**

149

**Total liabilities**

149

**Equity:**

Retained earnings

58,475

**Total equity**

58,475

**Total liabilities and equity**

\$ 58,624

In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of normal recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the Intercontinental Exchange, Inc. financial statements and notes thereto for the year ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.



**Interactive Data Online Properties, Inc.**  
**Statement of Income**  
**As of December 31, 2017**  
(Unaudited)  
(in thousands)

**Revenues:**

Data services fees, net	\$ 3,049
<b>Total revenues</b>	<b>3,049</b>

**Expenses:**

Technology and communication	34
Selling, general and administrative	4
<b>Operating expenses</b>	<b>38</b>

<b>Operating income</b>	<b>3,011</b>
Other income, net	6
<b>Pre-tax net income</b>	<b>3,017</b>
Income tax expense	336
<b>Net income</b>	<b>\$ 2,681</b>

In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of normal recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the Intercontinental Exchange, Inc. financial statements and notes thereto for the year ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.



**Interactive Data Real-Time Group, Inc.**  
**Balance Sheet**  
**As of December 31, 2017**  
(Unaudited)  
(in thousands)

**Current assets:**

Cash and cash equivalents	
Accounts receivable, net of allowance	197
Due from affiliates, net	3,435
<b>Current assets</b>	<b>3,632</b>

<b>Total assets</b>	<b>\$ 3,632</b>
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**LIABILITIES and EQUITY**

**Current liabilities:**

Accounts payable and accrued liabilities	3
Deferred revenue	20
<b>Current liabilities</b>	<b>23</b>

<b>Total liabilities</b>	<b>23</b>
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**Equity:**

Retained earnings	3,609
<b>Total equity</b>	<b>3,609</b>

<b>Total liabilities and equity</b>	<b>\$ 3,632</b>
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In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of normal recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the Intercontinental Exchange, Inc. financial statements and notes thereto for the year ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.



**Interactive Data Real-Time Group, Inc.**  
**Statement of Income**  
**As of December 31, 2017**  
(Unaudited)  
(in thousands)

<b>Revenues:</b>	
Data services fees, net	\$ 338
<b>Total revenues</b>	<u>338</u>
<b>Expenses:</b>	
Selling, general and administrative	-
<b>Operating expenses</b>	<u>-</u>
<b>Operating income</b>	338
Other income, net	4
<b>Other income, net</b>	<u>4</u>
<b>Pre-tax net income</b>	342
Income tax benefit	161
<b>Net income</b>	<u>\$ 503</u>

In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of normal recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of IntercontinentalExchange Group, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the IntercontinentalExchange Group, Inc. financial statements and notes thereto for the year ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.



**Interactive Data Real-Time Services, Inc**  
**Balance Sheet**  
**As of December 31, 2017**  
(Unaudited)  
(in thousands)

<b>Current assets:</b>	
Accounts receivable, net of allowance	22,285
Prepaid expenses and other current assets	902
<b>Current assets</b>	<b>23,187</b>
<b>Property and equipment:</b>	
Property and equipment cost	11,009
Accumulated depreciation	(5,879)
<b>Property and equipment, net</b>	<b>5,130</b>
<b>Other non-current assets:</b>	
Other noncurrent assets	944
Deferred tax assets- non-current	9,436
<b>Other non-current assets</b>	<b>10,380</b>
<b>Total assets</b>	<b>\$ 38,697</b>

**LIABILITIES and EQUITY**

<b>Current liabilities:</b>	
Accounts payable and accrued liabilities	3,959
Accrued salaries and benefits	3,203
Current income tax payable	21
Deferred revenue	3,483
Due to affiliates, net	188,674
<b>Current liabilities</b>	<b>199,340</b>
<b>Non-current liabilities:</b>	
Other noncurrent liabilities	335
Deferred revenue- long-term	1,190
<b>Non-current liabilities</b>	<b>1,525</b>
<b>Total liabilities</b>	<b>200,865</b>
<b>Equity:</b>	
Contributed capital	1,247
Retained deficit	(163,415)
<b>Total equity</b>	<b>(162,168)</b>
<b>Total liabilities and equity</b>	<b>\$ 38,697</b>

In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of normal recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the Intercontinental Exchange, Inc. financial statements and notes thereto for the year ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.

**CONFIDENTIAL TREATMENT REQUESTED**

**AMERICAN-ONE18-000100**



**Interactive Data Real-Time Services, Inc**  
**Statement of Income**  
**As of December 31, 2017**  
(Unaudited)  
(in thousands)

**Revenues:**

Data services fees, net	\$ 78,160
Affiliate revenue	1,131

<b>Total revenues</b>	<b>79,291</b>
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**Expenses:**

Compensation and benefits	28,647
Professional services	2,002
Acquisition-related transaction and integration costs	2,688
Technology and communication	22,997
Rent and occupancy	2,684
Selling, general and administrative	684
Depreciation and amortization	3,093
Affiliate expense	8,553

<b>Operating expenses</b>	<b>71,348</b>
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<b>Operating income</b>	<b>7,943</b>
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Other expense, net	151
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<b>Other income, net</b>	<b>151</b>
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<b>Pre-tax net income</b>	<b>7,792</b>
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Income tax expense	4,288
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<b>Net income</b>	<b>\$ 12,080</b>
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In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of normal recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the Intercontinental Exchange, Inc. financial statements and notes thereto for the year ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.



**INTERCONTINENTAL EXCHANGE HOLDINGS, INC.**

**BALANCE SHEET**

**As of December 31, 2017**

**(Unaudited)**

**(in thousands)**

**CURRENT ASSETS:**

Cash and cash equivalents	\$	3,433
Restricted cash		18,722
Accounts receivable, net of allowance		10,177
Deferred tax assets - current		
Prepaid expenses and other current assets		34,043
Current income tax receivable		57,068
<b>Current assets</b>		<b>123,443</b>

**PLANT PROPERTY AND EQUIPMENT:**

Property and equipment		710,583
Accumulated depreciation		(413,018)
<b>Property and equipment, net</b>		<b>297,565</b>

**OTHER NON-CURRENT ASSETS:**

Goodwill		87,773
Other intangibles, net		12,226
Deferred tax assets- noncurrent		
Investment in affiliates		1,598,635
Long-term restricted cash and investments		35,154
Other non-current assets		10,005
<b>Other non-current assets</b>		<b>1,743,793</b>
<b>Assets</b>		<b>2,164,801</b>

**LIABILITIES and EQUITY:**

**CURRENT LIABILITIES:**

Accounts payable and accrued liabilities		37,053
Accrued salaries and benefits		52,805
Other current liabilities		18,848
Deferred revenue		3,371
Due to affiliates		1,253,056
<b>Current liabilities</b>		<b>1,365,133</b>

**NON-CURRENT LIABILITIES:**

Deferred tax liabilities- noncurrent		4,344
Other non-current liabilities		45,278
<b>Non-current liabilities</b>		<b>49,622</b>
<b>Liabilities</b>		<b>1,414,755</b>

**Noncontrolling interest**

**141,395**

**SHAREHOLDERS EQUITY:**

Additional paid-in capital		238,164
Retained earnings		355,547
Accumulated other comprehensive income		14,940
<b>Equity</b>		<b>608,651</b>
<b>Total liabilities and equity</b>	<b>\$</b>	<b>2,164,801</b>

In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of normal recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the Intercontinental Exchange Group, Inc. financial statements and notes thereto for the year ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.





**INTERCONTINENTAL EXCHANGE HOLDINGS, INC.**  
**STATEMENT OF INCOME**  
**Year Ended December 31, 2017**  
**(Unaudited)**  
**(in thousands)**

Transaction and clearing fees, net	\$ 13,529
Data services fees, net	17,134
Other revenues	4,176
Affiliate revenue	409,082
<b>Total revenue</b>	<b>443,921</b>
Compensation and benefits	208,472
Professional services	28,386
Acquisition-related transaction and integration costs	12,992
Technology and communication	101,194
Rent and occupancy	2,852
Selling, general and administrative	25,399
Depreciation and amortization	95,802
Affiliate expense	16,035
<b>Operating expenses</b>	<b>491,132</b>
<b>Operating loss</b>	<b>(47,211)</b>
Interest income	91
Affiliate interest income	2,421
Other expense, net	(358)
<b>Other expense, net</b>	<b>2,154</b>
<b>Pre-tax net loss</b>	<b>(45,057)</b>
<b>Income tax expense</b>	<b>21,482</b>
<b>Net loss</b>	<b>(66,539)</b>
<b>Net loss from continuing operations attributable to non-controlling interest</b>	<b>(27,314)</b>
<b>Net loss attributable to ICE</b>	<b>\$ (93,853)</b>

In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of normal recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the IntercontinentalExchange Group, Inc. financial statements and notes thereto for the year ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.



**Intercontinental Exchange International , Inc.**  
**Balance Sheet**  
**As Of December 31, 2017**  
**(Unaudited)**

**ASSETS:**

Other assets	1
<b>Total assets</b>	<b>\$ 1</b>

**LIABILITIES AND MEMBER EQUITY:**

**EQUITY:**

Member capital	1
<b>Equity</b>	<b>1</b>
<b>Total Equity</b>	<b>\$ 1</b>

In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of non-recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the Intercontinental Exchange, Inc. financial statements and notes thereto for the year ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.

**Intercontinental Exchange Property Protection, Inc**  
**Balance Sheet**  
**As of December 31, 2017**  
(Unaudited)  
(in thousands)

**CURRENT ASSETS:**

Cash and cash equivalents	\$ 9,772
Prepaid expenses and other current assets	702
<b>Total current assets</b>	<u>10,474</u>

**NON-CURRENT ASSETS:**

Deferred tax liabilities - non current	<u>93</u>
<b>Total non-current assets</b>	<u>93</u>
<b>Total assets</b>	<u><u>\$ 10,567</u></u>

**LIABILITIES and EQUITY:**

**CURRENT LIABILITIES:**

Accounts Payable and Accrued Liabilities	\$ 3,204
Income tax payable	0
Due to affiliates	394
Deferred revenue	<u>1,381</u>
<b>Current Liabilities</b>	<u>4,979</u>
<b>Total Liabilities</b>	<u>4,979</u>

**EQUITY:**

Contributed capital	4,140
Retained earnings	<u>1,448</u>
<b>Total equity</b>	<u>5,588</u>
<b>Total liabilities and equity</b>	<u><u>\$ 10,567</u></u>

In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of normal recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the IntercontinentalExchange Group, Inc. financial statements and notes thereto for the year ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.

**Intercontinental Exchange Property Protection, Inc**  
**Statement of Income**  
**Year Ended December 31, 2017**  
(Unaudited)  
(in thousands)

<b>Total Revenue, less transaction-based expenses</b>	<u>\$ -</u>
<b>Expenses:</b>	
Compensation and benefits	(855)
Professional services	121
Selling, general and administrative	<u>(68)</u>
<b>Operating Expenses</b>	<u>(802)</u>
<b>Operating Income</b>	<u>802</u>
Interest income	-
<b>Other Income</b>	<u>0</u>
<b>Pre-tax net income</b>	802
Income tax expense	<u>382</u>
<b>Net income</b>	<u><u>\$ 420</u></u>

In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of normal recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the Intercontinental Exchange Group, Inc. financial statements and notes thereto for the year ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.



# INTERCONTINENTAL EXCHANGE, INC

## BALANCE SHEET

As of December 31, 2017

(Unaudited)

(in thousands)

### CURRENT ASSETS:

Cash and cash equivalents	\$	3,066
Restricted cash		
Prepaid expenses and other current assets		75
Income taxes receivable		
<b>Current assets</b>		<b>3,141</b>

### OTHER NON-CURRENT ASSETS:

Investment in affiliates		15,081,840
Other non-current assets		123,110
<b>Other non-current assets</b>		<b>15,204,950</b>
<b>Assets</b>	<b>\$</b>	<b>15,208,091</b>

### LIABILITIES and EQUITY:

#### CURRENT LIABILITIES:

Accounts payable and accrued liabilities	\$	43,171
Accrued salaries and benefits		8,070
Long term debt - current portion		1,833,474
Due to affiliates		3,300,604
Income tax payable		50,452
<b>Current liabilities</b>		<b>5,235,771</b>

#### NONCURRENT LIABILITIES:

Notes payable long-term		4,266,715
Deferred taxes payable - non-current		8,757
Other non-current liabilities		3,500
<b>Non-current liabilities</b>		<b>4,278,972</b>
<b>Liabilities</b>		<b>9,514,743</b>

#### EQUITY:

Common stock, \$0.01 par value		6,000
Treasury stock, at cost		(1,075,729)
Additional paid-in capital		10,909,952
Retained deficit		(2,121,207)
Accumulated other comprehensive income		(2,025,668)
<b>Equity</b>		<b>5,693,348</b>
<b>Total liabilities and equity</b>	<b>\$</b>	<b>15,208,091</b>

In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of normal recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the Intercontinental Exchange, Inc. financial statements and notes thereto for the year ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.



**INTERCONTINENTAL EXCHANGE, INC**  
**STATEMENT OF INCOME**  
**Year Ended December 31, 2017**  
**(Unaudited)**  
**(in thousands)**

<b>Total Revenue</b>	<b>\$ -</b>
Compensation and benefits	1,300
Selling, general and administrative	1,096
<b>Operating expenses</b>	<b>2,396</b>
<b>Operating loss</b>	<b>(2,396)</b>
Interest income	323
Affiliate interest expense	(59,089)
Interest expense	(169,383)
Other income, net	22,131
<b>Other expense</b>	<b>(206,018)</b>
<b>Pre-tax net loss</b>	<b>(208,414)</b>
Income tax benefit	210,954
<b>Net income</b>	<b>\$ 2,540</b>

In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of normal recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the IntercontinentalExchange Group, Inc. financial statements and notes thereto for the year ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.



# Internet Services Telco LLC

## BALANCE SHEET

As of December 31, 2017

(Unaudited)

(in thousands)

### CURRENT ASSETS:

Cash and cash equivalents	\$	-
<b>Current assets</b>		<b>0</b>

### PLANT PROPERTY AND EQUIPMENT:

Property and equipment		160
Accumulated depreciation		(34)
<b>Property and equipment, net</b>		<b>126</b>
<b>Assets</b>		<b>126</b>

### LIABILITIES and EQUITY:

#### CURRENT LIABILITIES:

Due to affiliates		84
<b>Current liabilities</b>		<b>84</b>
<b>Liabilities</b>		<b>84</b>

#### SHAREHOLDERS EQUITY:

Retained earnings		42
<b>Equity</b>		<b>42</b>
<b>Total liabilities and equity</b>	<b>\$</b>	<b>126</b>

In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of normal recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the IntercontinentalExchange Group, Inc. financial statements and notes thereto for the year ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.



**Internet Services Telco LLC**  
**STATEMENT OF INCOME**  
**Year Ended December 31, 2017**  
**(Unaudited)**  
**(in thousands)**

Data services fees, net	-
<b>Total revenue</b>	<b>0</b>
Depreciation and amortization	34
<b>Operating expenses</b>	<b>34</b>
<b>Operating loss</b>	<b>(34)</b>
<b>Pre-tax net loss</b>	<b>(34)</b>
<b>Net loss</b>	<b>(34)</b>

In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of normal recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the IntercontinentalExchange Group, Inc. financial statements and notes thereto for the year ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.





**Maroon Holdings LLC**  
**Balance Sheet**  
**As Of December 31, 2017**  
**(Unaudited)**

**ASSETS:**

Other assets		1
<b>Total assets</b>	<b>\$</b>	<b>1</b>

**LIABILITIES AND EQUITY:**

**EQUITY:**

Member capital		1
<b>Equity</b>		<b>1</b>
<b>Total equity</b>	<b>\$</b>	<b>1</b>

In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of non-recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the Intercontinental Exchange Group, Inc. financial statements and notes thereto for the ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.



**MERSCORP Holdings, Inc.**  
**Balance Sheet**  
**As Of December 31, 2017**  
**(Unaudited)**

**ASSETS:**

Other assets		1
<b>Total assets</b>	<b>\$</b>	<b>1</b>

**LIABILITIES AND EQUITY:**

**EQUITY:**

Member capital		1
<b>Equity</b>		<b>1</b>
<b>Total equity</b>	<b>\$</b>	<b>1</b>

In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of non-recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the Intercontinental Exchange Group, Inc. financial statements and notes thereto for the period ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.



**Mortgage Electronic Registration System, Inc.**

**BALANCE SHEET**

**As of December 31, 2017**

**(Unaudited)**

**(in thousands)**

**CURRENT ASSETS:**

Cash and cash equivalents	\$	14,581
Restricted cash		99
Accounts receivable, net of allowance		15,093
Other receivables		764
Short-term investments		7,870
Prepaid expenses and other current assets		1,909
<b>Current assets</b>		<b>40,316</b>

**PLANT PROPERTY AND EQUIPMENT:**

Property and equipment, net		10,789
<b>Property and equipment, net</b>		<b>10,789</b>

**OTHER NON-CURRENT ASSETS:**

Goodwill		27,180
Other intangibles, net		30,829
Long-term restricted cash and investments		141,076
Other non-current assets		3,500
<b>Other non-current assets</b>		<b>202,585</b>
<b>Assets</b>		<b>253,690</b>

**LIABILITIES and EQUITY:**

**CURRENT LIABILITIES:**

Accounts payable and accrued liabilities		9,222
Deferred revenue		1,849
<b>Current liabilities</b>		<b>11,071</b>

**NON-CURRENT LIABILITIES:**

Deferred tax liability - current		3,044
Other non-current liabilities		82,328
<b>Non-current liabilities</b>		<b>85,372</b>
<b>Liabilities</b>		<b>96,443</b>

**SHAREHOLDERS EQUITY:**

Contributed capital		110,844
Retained earnings		46,884
Accumulated other comprehensive income		(481)
<b>Equity</b>		<b>157,247</b>
<b>Total liabilities and equity</b>	<b>\$</b>	<b>253,690</b>

In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of normal recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2016 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the IntercontinentalExchange Group, Inc. financial statements and notes thereto for the year ended December 31, 2016, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.



**Mortgage Electronic Registration Systems, Inc.**  
**STATEMENT OF INCOME**  
**Year Ended December 31, 2017**  
**(Unaudited)**  
**(in thousands)**

Data services fees, net	\$ 96,943
<b>Total revenue</b>	<b>96,943</b>
Compensation and benefits	18,621
Professional services	4,718
Technology and communication	13,822
Rent and occupancy	1,495
Selling, general and administrative	2,663
Depreciation and amortization	5,437
<b>Operating expenses</b>	<b>46,756</b>
<b>Operating income</b>	<b>50,187</b>
Interest expense	(16)
Other income, net	725
<b>Other income, net</b>	<b>741</b>
<b>Pre-tax net income</b>	<b>50,928</b>
<b>Income tax expense</b>	<b>20,972</b>
<b>Net income</b>	<b>29,956</b>

In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of normal recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the IntercontinentalExchange Group, Inc. financial statements and notes thereto for the year ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.



**New York Futures Clearing Corporation (dormant)**  
**Balance Sheet**  
**As Of December 31, 2017**  
**(Unaudited)**

**ASSETS:**

Other assets	1
<b>Total assets</b>	<b>\$ 1</b>

**LIABILITIES AND MEMBER EQUITY:**

**MEMBER EQUITY:**

Member capital	1
<b>Member equity</b>	<b>1</b>
<b>Total Member Equity</b>	<b>\$ 1</b>

In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of non-recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the Intercontinental Exchange Group, Inc. financial statements and notes thereto for the ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.



**New York Stock Exchange LLC**  
**Balance Sheet**  
**As Of December 31, 2017**  
**(Unaudited)**

**ASSETS:**

Other assets		1
<b>Total assets</b>	<b>\$</b>	<b>1</b>

**LIABILITIES AND EQUITY:**

**EQUITY:**

Member capital		1
<b>Equity</b>		<b>1</b>
<b>Total equity</b>	<b>\$</b>	<b>1</b>

In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of non-recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the Intercontinental Exchange Group, Inc. financial statements and notes thereto for the period ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.



**NSX Securities, LLC**  
**Balance Sheet**  
**As Of December 31, 2017**  
**(Unaudited)**

**ASSETS:**

Other assets	1
<b>Total assets</b>	<b>\$ 1</b>

**LIABILITIES AND EQUITY:**

**EQUITY:**

Member capital	1
<b>Equity</b>	<b>1</b>
<b>Total equity</b>	<b>\$ 1</b>

In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of nor recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the Intercontinental Exchange Group, Inc. financial statements and notes thereto for the period ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.



**NYSE American LLC**  
**BALANCE SHEET**  
As of December 31, 2017  
(Unaudited)  
(in thousands)

<b>CURRENT ASSETS:</b>	
Cash and cash equivalents	\$ 319
Accounts receivable, net of allowance	36,475
<b>Current assets</b>	<u>36,794</u>
<b>PLANT PROPERTY AND EQUIPMENT:</b>	
Property and equipment	384
Accumulated depreciation	(384)
<b>Property and equipment, net</b>	<u>0</u>
<b>NON-CURRENT ASSETS:</b>	
Goodwill	932,588
Other intangibles, net	660,331
Other noncurrent assets	57,243
<b>Other non-current assets</b>	<u>1,650,162</u>
<b>Assets</b>	<u><u>1,686,956</u></u>
<b>LIABILITIES and EQUITY:</b>	
<b>CURRENT LIABILITIES:</b>	
Accounts payable and accrued liabilities	49,088
Accrued salaries and benefits	1,293
Due to affiliate	55,769
Income Tax Payable	340
Deferred revenue	6,680
SEC fees payable	814
<b>Current liabilities</b>	<u>113,984</u>
<b>NON-CURRENT LIABILITIES:</b>	
Deferred tax liabilities - noncurrent	189,824
Other non-current liabilities	2,385
Deferred Revenue - Long Term	4,011
<b>Non-current liabilities</b>	<u>196,220</u>
<b>Liabilities</b>	<u><u>310,204</u></u>
<b>Noncontrolling interest</b>	27,418
<b>SHAREHOLDERS EQUITY:</b>	
Contributed capital	59,971
Additional paid-in capital	3,065
Retained earnings	1,285,191
Accumulated other comprehensive income	1,107
<b>Equity</b>	<u>1,349,334</u>
<b>Total liabilities and equity</b>	<u><u>\$ 1,686,956</u></u>

In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of normal recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the IntercontinentalExchange Group, Inc. financial statements and notes thereto for the year ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.





**NYSE American LLC**  
**STATEMENT OF INCOME**  
**Year Ended December 31, 2017**  
**(Unaudited)**  
**(in thousands)**

Transaction and clearing fees, net	\$	6,666
Data services fees, net		9,639
Listing Fees		19,621
Other revenues		627
Affiliate revenue		626
Transaction based expenses		(5,273)
<b>Total revenue, less transaction-based expenses</b>		<b>31,906</b>
Compensation and benefits		7,652
Professional Services		371
Technology and communication		214
Selling, general and administrative		276
Depreciation and amortization		8,647
Affiliate expense		8,681
<b>Operating expenses</b>		<b>25,841</b>
<b>Operating income</b>		<b>6,065</b>
Interest income		39
Other income, net		7,285
<b>Other expense, net</b>		<b>7,246</b>
<b>Pre-tax net income</b>		<b>13,311</b>
<b>Income tax benefit</b>		<b>(69,698)</b>
<b>Net income</b>		<b>83,009</b>

In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of normal recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the IntercontinentalExchange Group, Inc. financial statements and notes thereto for the year ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.



**NYSE ARCA, Inc.<sup>(1)</sup>**  
**BALANCE SHEET**  
**As of December 31, 2017**  
**(Unaudited)**  
**(in thousands)**

**CURRENT ASSETS:**

Cash and cash equivalents	\$ 555
Accounts receivable, net of allowance	8,829
Due from affiliates	270,471
Prepaid expenses and other current assets	1,405
Income tax receivable	413
<b>Current assets</b>	<b>281,673</b>

**PLANT PROPERTY AND EQUIPMENT:**

Property and equipment	1,682
Accumulated depreciation	(1,428)
<b>Property and equipment, net</b>	<b>254</b>

**NON-CURRENT ASSETS:**

Other noncurrent assets	55,375
<b>Other non-current assets</b>	<b>55,375</b>
<b>Assets</b>	<b>337,302</b>

**LIABILITIES and EQUITY:**

**CURRENT LIABILITIES:**

Accounts payable and accrued liabilities	1,082
Accrued salaries and benefits	1,436
SEC fees payable	2,932
<b>Current liabilities</b>	<b>5,450</b>

**NON-CURRENT LIABILITIES:**

Deferred tax liabilities - noncurrent	2,494
Other non-current liabilities	128
<b>Non-current liabilities</b>	<b>2,622</b>
<b>Liabilities</b>	<b>8,072</b>

**SHAREHOLDERS EQUITY:**

Additional paid-in capital	3,570
Retained earnings	324,551
Accumulated other comprehensive income	1,109
<b>Equity</b>	<b>329,230</b>
<b>Total liabilities and equity</b>	<b>\$ 337,302</b>

In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of normal recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the IntercontinentalExchange Group, Inc. financial statements and notes thereto for the year ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.



NYSE ARCA, Inc.<sup>(1)</sup>  
**STATEMENT OF INCOME**  
Year Ended December 31, 2017  
(Unaudited)  
(in thousands)

Transaction and clearing fees, net	\$	125,593
Data services fees, net		8,518
Other revenues		20,442
Transaction based expenses		(85,237)
<b>Total revenue, less transaction-based expenses</b>		<b>69,316</b>
Compensation and benefits		7,838
Professional services		64
Technology and communication		1,330
Rent and occupancy		3,364
Selling, general and administrative		385
Depreciation and amortization		158
Affiliate expense		9,878
<b>Operating expenses</b>		<b>23,017</b>
<b>Operating income</b>		<b>46,299</b>
Affiliate interest income		2,223
Other expense, net		7,456
<b>Other expense, net</b>		<b>9,679</b>
<b>Pre-tax net income</b>		<b>55,978</b>
<b>Income tax expense</b>		<b>18,380</b>
<b>Net income</b>		<b>37,598</b>

In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of normal recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the IntercontinentalExchange Group, Inc. financial statements and notes thereto for the year ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.



**NYSE ARCA LLC**  
**BALANCE SHEET**  
As of December 31, 2017  
(Unaudited)  
(in thousands)

**CURRENT ASSETS:**

Cash and cash equivalents	\$ 1,544
Accounts receivable, net of allowance	26,885
Due from affiliates	299,079
Prepaid expenses and other current assets	1,303
<b>Current assets</b>	<b>328,811</b>

**PLANT PROPERTY AND EQUIPMENT:**

Property and equipment	88,663
Accumulated depreciation	(44,467)
<b>Property and equipment, net</b>	<b>44,196</b>

**NON-CURRENT ASSETS:**

Goodwill	563,001
Other intangibles, net	987,110
Other non-current assets	2,458
<b>Other non-current assets</b>	<b>1,552,569</b>
<b>Assets</b>	<b>1,925,576</b>

**LIABILITIES and EQUITY:**

**CURRENT LIABILITIES:**

Accounts payable and accrued liabilities	(1,479)
Accrued salaries and benefits	3,551
Income tax payable	545
Deferred revenue	1,096
SEC fees payable	55,625
<b>Current liabilities</b>	<b>59,338</b>

**NON-CURRENT LIABILITIES:**

Deferred tax liabilities - current	281,094
Other non-current liabilities	19,298
Deferred revenue - long term	(1,136)
<b>Non-current liabilities</b>	<b>299,256</b>
<b>Liabilities</b>	<b>358,594</b>

**SHAREHOLDERS EQUITY:**

Additional paid-in capital	9,356
Retained earnings	1,557,626
<b>Equity</b>	<b>1,566,982</b>
<b>Total liabilities and equity</b>	<b>\$ 1,925,576</b>

In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of normal recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the IntercontinentalExchange Group, Inc. financial statements and notes thereto for the year ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.



**NYSE ARCA LLC**  
**STATEMENT OF INCOME**  
**Year Ended December 31, 2017**  
**(Unaudited)**  
**(in thousands)**

Transaction and clearing fees, net	\$ 620,415
Data services fees, net	75,924
Listing Fees	10,995
Other revenues	17,125
Affiliate revenue	1,624
Transaction based expenses	(532,463)
<b>Total revenue, less transaction-based expenses</b>	<b>193,620</b>
Compensation and benefits	13,979
Professional services	528
Technology and communication	4,330
Rent and occupancy	684
Selling, general and administrative	1,950
Depreciation and amortization	24,764
Affiliate expense	79,452
<b>Operating expenses</b>	<b>125,687</b>
<b>Operating income</b>	<b>67,933</b>
Affiliate interest income	4,251
Interest expense	
Other expense, net	(4)
<b>Other expense, net</b>	<b>4,247</b>
<b>Pre-tax net income</b>	<b>72,180</b>
<b>Income tax benefit</b>	<b>93,878</b>
<b>Net income</b>	<b>166,058</b>

In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of normal recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the IntercontinentalExchange Group, Inc. financial statements and notes thereto for the year ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.

**NYSE Group, Inc.**  
**Balance Sheet**  
**As of December 31, 2017**  
**(Unaudited)**  
**(in thousands)**

<b>CURRENT ASSETS:</b>	
Cash and cash equivalents	\$ 353
Income tax receivable	2,507
<b>Current assets</b>	<u>2,860</u>
<b>OTHER NON-CURRENT ASSETS:</b>	
Goodwill	155,981
Investment in Sub	2,441
Deferred tax asset - non-current	8,794
<b>Other non-current assets</b>	<u>167,216</u>
<b>Total assets</b>	<u><u>\$ 170,076</u></u>
<b>LIABILITIES and EQUITY:</b>	
<b>CURRENT LIABILITIES:</b>	
Accounts Payable and Accrued Liabilities	\$ 1,863
Due to affiliates	127,441
<b>Current liabilities</b>	<u>129,304</u>
<b>NON-CURRENT LIABILITIES:</b>	
Other non-current liabilities	38,253
<b>Non-current liabilities</b>	<u>38,253</u>
<b>Total liabilities</b>	<u>167,557</u>
<b>EQUITY:</b>	
Contributed capital	(2,197)
Retained earnings	4,716
<b>Total equity</b>	<u>2,519</u>
<b>Total liabilities and equity</b>	<u><u>\$ 170,076</u></u>

In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of normal recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the IntercontinentalExchange Group, Inc. financial statements and notes thereto for the year ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.

**NYSE Group, Inc.**  
**Statement of Income**  
**Year Ended December 31, 2017**  
**(Unaudited)**  
**(in thousands)**

<b>Revenue:</b>	
<b>Total Revenue</b>	<u>0</u>
<b>Expenses:</b>	
M&A expenses	481
Selling, general, and administrative	(2,581)
Amortization and Depreciation	10,885
Intercompany expenses	1,001
<b>Operating expenses</b>	<u>9,786</u>
<b>Operating loss</b>	<u>(9,786)</u>
Intercompany Interest income	1,486
Other income net	285
<b>Other expense, net</b>	<u>1,771</u>
<b>Pre-tax net loss</b>	(8,015)
Income tax expense	2,443
<b>Net income</b>	<u><u>\$ (10,458)</u></u>

In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of normal recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the IntercontinentalExchange Group, Inc. financial statements and notes thereto for the year ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.

**NYSE Holdings LLC**  
**Balance Sheet**  
**As of December 31, 2017**  
(Unaudited)  
(in thousands)

**CURRENT ASSETS:**

Cash and cash equivalents	\$ 323
Due from affiliates	3,637,838
<b>Current assets</b>	<b>3,638,161</b>

**OTHER NON-CURRENT ASSETS:**

Other non-current assets	11,000
Deferred tax assets - non-current	0
<b>Other non-current assets</b>	<b>11,000</b>

<b>Assets</b>	<b>\$ 3,649,161</b>
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**LIABILITIES and EQUITY:**

**CURRENT LIABILITIES:**

Accounts payable and accrued liabilities	\$ -
Income taxes payable	-
<b>Current Liabilities</b>	<b>0</b>

**NON-CURRENT LIABILITIES:**

Notes payable - non-current	-
<b>Non-current liabilities</b>	<b>0</b>
<b>Liabilities</b>	<b>0</b>

**EQUITY:**

Contributed Capital	3,585,641
Retained deficit	63,520
<b>Total equity</b>	<b>3,649,161</b>
<b>Total liabilities and equity</b>	<b>\$ 3,649,161</b>

In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of normal recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the IntercontinentalExchange Group, Inc. financial statements and notes thereto for the year ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.



**NYSE Holdings LLC**  
**Statement of Income**  
**Year Ended December 31, 2017**  
(Unaudited)  
(in thousands)

<b>Total Revenue, less transaction-based expenses</b>	<u>\$ -</u>
<b>Expenses:</b>	
Professional services	-
Technology and communication	-
Selling, general and administrative	8
Intercompany Expense	-
<b>Operating expenses</b>	<u>8</u>
<b>Operating loss</b>	<u>(8)</u>
Interest income	-
Interest income from affiliates	67,259
Interest expense	(11,091)
Other expense, net	(318)
<b>Other expense, net</b>	<u>55,850</u>
<b>Pre-tax net loss</b>	55,842
Income tax benefit	-
<b>Net loss</b>	<u><u>\$ 55,842</u></u>

In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of normal recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the IntercontinentalExchange Group, Inc. financial statements and notes thereto for the year ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.

**NYSE IP LLC**  
**Balance Sheet**  
**As of December 31, 2017**  
**(Unaudited)**  
**(in thousands)**

**CURRENT ASSETS:**

Due from affiliates	\$ 662
<b>Current assets</b>	<b>662</b>
<b>Assets</b>	<b>\$ 662</b>

**LIABILITIES AND EQUITY:**

**EQUITY:**

Retained deficit	(3,132)
Contributed capital	3,869
Accumulated other comprehensive income	(75)
<b>Total equity</b>	<b>662</b>
<b>Total liabilities and equity</b>	<b>\$ 662</b>

In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of normal recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the IntercontinentalExchange Group, Inc. financial statements and notes thereto for the year ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.

**NYSE Liffe Holdings, LLC (Dormant)**  
**Balance Sheet**  
**As of December 31, 2017**  
(Unaudited)  
(in thousands)

**LIABILITIES and EQUITY:**

**CURRENT LIABILITIES:**

Due from affiliate	\$ 2
<b>Current liabilities</b>	<b>2</b>

<b>Liabilities</b>	<b>2</b>
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**EQUITY:**

Retained deficit	(2)
<b>Total equity</b>	<b>(2)</b>

<b>Total liabilities and equity</b>	<b>\$ -</b>
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In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of normal recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the IntercontinentalExchange Group, Inc. financial statements and notes thereto for the year ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.

**NYSE Liffe US, LLC**  
**Balance Sheet**  
**As of December 31, 2017**  
**(Unaudited)**  
**(in thousands)**

**CURRENT ASSETS:**

Cash and cash equivalents	\$ 83
<b>Current assets</b>	<b>83</b>

**NON-CURRENT ASSETS:**

Deferred tax asset - non-current	88
Goodwill	(31)
<b>Other non-current assets</b>	<b>57</b>
<b>Total assets</b>	<b>\$ 140</b>

**LIABILITIES and EQUITY:**

**CURRENT LIABILITIES:**

Due to affiliate	\$ 25,818
<b>Current liabilities</b>	<b>25,818</b>
<b>Total liabilities</b>	<b>25,818</b>

**EQUITY:**

Contributed capital	(23,807)
Retained deficit	(1,871)
<b>Total equity</b>	<b>(25,678)</b>
<b>Total liabilities and equity</b>	<b>\$ 140</b>

In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of normal recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the Intercontinental Exchange Group, Inc. financial statements and notes thereto for the year ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.

**NYSE Liffe US, LLC**  
**Statement of Income**  
**Year Ended December 31, 2017**  
**(Unaudited)**  
**(in thousands)**

<b>Total Revenue, less transaction-based expenses</b>	\$ -
<b>Expenses:</b>	
<b>Operating expenses</b>	-
<b>Pre-tax net loss</b>	-
<b>Income tax benefit</b>	-
<b>Net income</b>	\$ -

normal recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the Intercontinental Exchange Group, Inc. financial statements and notes thereto for the year ended December 31, 2017, which are contained in our Annual Report



**NYSE Market (DE), Inc.**  
**BALANCE SHEET**  
As of December 31, 2017  
(Unaudited)  
(in thousands)

**CURRENT ASSETS:**

Cash and cash equivalents	51,516
Short-term Investments	15,885
Accounts receivable, net of allowance	162,034
Due from affiliates	634,687
Prepaid expenses and other current assets	4,043
Other Current Assets	134
Income tax receivable	2,388
<b>Current assets</b>	<b>870,687</b>

**PLANT PROPERTY AND EQUIPMENT:**

Property and equipment	394,554
Accumulated depreciation	(78,710)
<b>Property and equipment, net</b>	<b>315,844</b>

**NON-CURRENT ASSETS:**

Goodwill	1,564,001
Other intangibles, net	1,851,676
Other non-current assets	59,740
<b>Other non-current assets</b>	<b>3,475,417</b>
<b>Assets</b>	<b>4,661,948</b>

**LIABILITIES and EQUITY:**

**CURRENT LIABILITIES:**

Accounts payable and accrued liabilities	89,423
Accrued salaries and benefits	43,239
Deferred revenue	34,582
SEC fees payable	66,393
<b>Current liabilities</b>	<b>233,637</b>

**NON-CURRENT LIABILITIES:**

Deferred tax liabilities - current	393,998
Other non-current liabilities	72,929
Accrued employee benefits - long term	230,565
Deferred revenue - long term	82,273
<b>Non-current liabilities</b>	<b>779,765</b>
<b>Liabilities</b>	<b>1,013,402</b>

**SHAREHOLDERS EQUITY:**

Contributed capital	(433,541)
Additional paid-in capital	67,817
Retained earnings	4,102,761
Accumulated other comprehensive income	(88,491)
<b>Equity</b>	<b>3,648,546</b>
<b>Total liabilities and equity</b>	<b>\$ 4,661,948</b>

In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of normal recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the IntercontinentalExchange Group, Inc. financial statements and notes thereto for the year ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.



**NYSE Market (DE), Inc.**  
**STATEMENT OF INCOME**  
Year Ended December 31, 2017  
(Unaudited)  
(in thousands)

Transaction and clearing fees, net	\$ 671,948
Data services fees, net	219,195
Listing Fees	387,637
Other revenues	31,293
Affiliate revenue	70,641
Transaction based expenses	(549,303)
<b>Total revenue, less transaction-based expenses</b>	<b>831,411</b>
Compensation and benefits	124,426
Professional services	36,703
Technology and communication	23,783
Rent and occupancy	11,865
Selling, general and administrative	69,945
Depreciation and amortization	38,858
Affiliate expense	114,816
<b>Operating expenses</b>	<b>420,396</b>
<b>Operating income</b>	<b>411,015</b>
Interest income	25
Affiliate interest income	12,188
Interest expense	(39)
Other expense, net	10,037
<b>Other expense, net</b>	<b>22,211</b>
<b>Pre-tax net income</b>	<b>433,226</b>
<b>Income tax expense</b>	<b>16,043</b>
<b>Net income</b>	<b>417,183</b>

In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of normal recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the IntercontinentalExchange Group, Inc. financial statements and notes thereto for the year ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.



**NYSE National, Inc.**  
**BALANCE SHEET**  
**As of December 31, 2017**  
**(Unaudited)**  
**(in thousands)**

**CURRENT ASSETS:**

Cash and cash equivalents	180
Income tax receivable	27
<b>Current assets</b>	<b>207</b>

**NON-CURRENT ASSETS:**

Goodwill	5,390
Other intangibles, net	2,000
Other non-current assets	1,065
<b>Other non-current assets</b>	<b>8,455</b>
<b>Assets</b>	<b>8,662</b>

**LIABILITIES and EQUITY:**

**CURRENT LIABILITIES:**

Accounts payable and accrued liabilities	294
Due to affiliates	7,923
<b>Current liabilities</b>	<b>8,217</b>

**NON-CURRENT LIABILITIES:**

Deferred tax liabilities - current	477
<b>Non-current liabilities</b>	<b>477</b>
<b>Liabilities</b>	<b>8,694</b>

**SHAREHOLDERS EQUITY:**

Retained deficit	(32)
<b>Equity</b>	<b>(32)</b>
<b>Total liabilities and equity</b>	<b>\$ 8,662</b>

In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of normal recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the IntercontinentalExchange Group, Inc. financial statements and notes thereto for the year ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.





**NYSE National, Inc.**  
**STATEMENT OF INCOME**  
**Year Ended December 31, 2017**  
**(Unaudited)**  
**(in thousands)**

Data services fees, net	84
<b>Total revenue</b>	<b>84</b>
Compensation and benefits	373
Professional services	117
<b>Operating expenses</b>	<b>490</b>
<b>Operating loss</b>	<b>(406)</b>
<b>Pre-tax net loss</b>	<b>(406)</b>
<b>Income tax benefit</b>	<b>373</b>
<b>Net loss</b>	<b>(33)</b>

In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of normal recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the IntercontinentalExchange Group, Inc. financial statements and notes thereto for the year ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.



**NYSE Technologies Connectivity, Inc.**

**BALANCE SHEET**

**As of December 31, 2017**

**(Unaudited)**

**(in thousands)**

**CURRENT ASSETS:**

Cash and cash equivalents	\$	529
Accounts receivable, net of allowance		22,282
Due from Affiliates		188,156
Prepaid expenses and other current assets		2,330
Income tax receivable		228
<b>Current assets</b>		<b>213,525</b>

**PLANT PROPERTY AND EQUIPMENT:**

Property and equipment		25,504
Accumulated depreciation		(15,945)
<b>Property and equipment, net</b>		<b>9,559</b>

**NON-CURRENT ASSETS:**

Goodwill		332,000
Other intangibles, net		345,000
<b>Other non-current assets</b>		<b>677,000</b>
<b>Assets</b>		<b>900,084</b>

**LIABILITIES and EQUITY:**

**CURRENT LIABILITIES:**

Accounts payable and accrued liabilities		2,279
Accrued salaries and benefits		1,174
<b>Current liabilities</b>		<b>3,453</b>

**NON-CURRENT LIABILITIES:**

Deferred tax liabilities - noncurrent		98,808
Other non-current liabilities		6,304
<b>Non-current liabilities</b>		<b>105,112</b>
<b>Liabilities</b>		<b>108,565</b>

**SHAREHOLDERS EQUITY:**

Additional paid-in capital		1,649
Retained earnings		789,858
Accumulated other comprehensive income		12
<b>Equity</b>		<b>791,519</b>
<b>Total liabilities and equity</b>	<b>\$</b>	<b>900,084</b>

In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of normal recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the IntercontinentalExchange Group, Inc. financial statements and notes thereto for the year ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.



**NYSE Technologies Connectivity, Inc.**  
**STATEMENT OF INCOME**  
**Year Ended December 31, 2017**  
**(Unaudited)**  
**(in thousands)**

Transaction and clearing fees, net	
Data services fees, net	\$ 133,109
Affiliate revenue	4,736
<b>Total revenue</b>	<b>137,845</b>
Compensation and benefits	7,395
Professional Services	12
Acquisition-related transaction and integration costs	1,000
Technology and communication	20,514
Rent and occupancy	546
Selling, general and administrative	2,146
Depreciation and amortization	3,005
Affiliate expense	19,059
<b>Operating expenses</b>	<b>53,677</b>
<b>Operating income</b>	<b>84,168</b>
Affiliate interest income	3,844
<b>Other expense, net</b>	<b>3,844</b>
<b>Pre-tax net income</b>	<b>88,012</b>
<b>Income tax benefit</b>	<b>1,548</b>
<b>Net income</b>	<b>89,560</b>

In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of normal recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the IntercontinentalExchange Group, Inc. financial statements and notes thereto for the year ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.



**onExchange Board of Trade (dormant)**

**Balance Sheet**

**As Of December 31, 2017**

**(Unaudited)**

**ASSETS:**

Other assets	\$	1
<b>Total Assets</b>		<b>1</b>

**LIABILITIES AND EQUITY:**

**EQUITY:**

Member capital		1
<b>Equity</b>		<b>1</b>
<b>Total Equity</b>	\$	<b>1</b>

In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of nor recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the IntercontinentalExchange Group, Inc. financial statements and notes thereto for the ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.



**onExchange Clearing Corporation (dormant)**  
**Balance Sheet**  
**As Of December 31, 2017**  
**(Unaudited)**

**ASSETS:**

Other assets	\$	1
<b>Total assets</b>		<b>1</b>

**LIABILITIES AND EQUITY:**

**EQUITY:**

Member capital		1
<b>Member equity</b>		<b>1</b>
<b>Total equity</b>	\$	<b>1</b>

In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of normal recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of IntercontinentalExchange Group, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the IntercontinentalExchange Group, Inc. financial statements and notes thereto for the year ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.



**PDR Services LLC**  
**Balance Sheet**  
**As Of December 31, 2017**  
**(Unaudited)**

**ASSETS:**

Other assets		1
<b>Total assets</b>	<b>\$</b>	<b>1</b>

**LIABILITIES AND EQUITY:**

**EQUITY:**

Member capital		1
<b>Equity</b>		<b>1</b>
<b>Total equity</b>	<b>\$</b>	<b>1</b>

In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of nor recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the Intercontinental Exchange Group, Inc. financial statements and notes thereto for the ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.



**Pit Trader, LLC**  
**Balance Sheet**  
**As Of December 31, 2017**  
**(Unaudited)**

**ASSETS:**

Other assets		1
<b>Total assets</b>	<b>\$</b>	<b>1</b>

**LIABILITIES AND MEMBER EQUITY:**

**EQUITY:**

Member capital		1
<b>Equity</b>		<b>1</b>
<b>Total Equity</b>	<b>\$</b>	<b>1</b>

In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of nor recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include incor taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audite financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all o information required by generally accepted accounting principles for complete financial statements. These financial stateme should be read in conjunction with the Intercontinental Exchange, Inc. financial statements and notes thereto for the year e December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchan Commission.



**Q-WIXX B SUB, LLC**  
**BALANCE SHEET**  
**As of December 31, 2017**  
**(Unaudited)**

**ASSETS:**

**OTHER NON-CURRENT ASSETS:**

Investment in affiliates	1,989
Other non-current assets	1,989
Assets	1,989

**LIABILITIES and EQUITY:**

**CURRENT LIABILITIES:**

Due to affiliates	1,638
Current liabilities	1,638

**EQUITY:**

Retained earnings	351
Equity	351
Total liabilities and equity	\$ 1,989

In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of normal recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the IntercontinentalExchange Group, Inc. financial statements and notes thereto for the year ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.





**Q-WIXX B SUB, LLC**  
**STATEMENT OF INCOME**  
**Year Ended December 31, 2017**  
**(Unaudited)**  
**(In thousands)**

Total revenue	\$	-
Selling, general & administration		-
Operating expenses		-
Operating loss		-
Pre-tax net loss		-
Income tax benefit		9
Net income	\$	9

In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of normal recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the IntercontinentalExchange Group, Inc. financial statements and notes thereto for the year ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.

WORLD\*

**QW HOLDINGS , LLC**  
**BALANCE SHEET**  
**As of December 31, 2017**  
**(Unaudited)**  
**(In thousands)**

**ASSETS:**

Cash and cash equivalents	\$	1
Due from affiliates, net		5,370
Intangible assets		<u>5,371</u>
Total assets		<u>5,371</u>
Liabilities		
Retained earnings	\$	4,198
Contributed capital		1,173
Total equity		<u>5,371</u>
Total equity	\$	<u>5,371</u>

In connection with the preparation of the accompanying unaudited financial statements, management has reviewed the accounting records and other information and believes that the accompanying unaudited financial statements contain all adjustments (consisting of normal recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date. These financial statements do not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the Intercontinental Exchange Group, Inc. financial statements and notes thereto for the year ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.



**QW HOLDINGS, LLC**  
**STATEMENT OF INCOME**  
**Year Ended December 31, 2017**  
**(Unaudited)**  
**(In thousands)**

Total revenue	\$	-
Selling, general & administration		-
Operating expenses		-
Operating loss		-
Intercompany interest income		123
Pre-tax net income		123
Income tax expense		-
Net income	\$	123

In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of normal recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the IntercontinentalExchange Group, Inc. financial statements and notes thereto for the year ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.



# SECURITIES EVALUATIONS, INC.

## BALANCE SHEET As of December 31, 2017 (Unaudited) (in thousands)

### CURRENT ASSETS:

Cash and cash equivalents	\$	4,246
Accounts receivable, net of allowance		29,199
Prepaid expenses and other current assets		1,378
Due from affiliates		5,939
<b>Current assets</b>		<b>40,762</b>

### PROPERTY AND EQUIPMENT

Property and equipment cost	
Accumulated depreciation	
<b>Property and equipment, net</b>	

### OTHER NON-CURRENT ASSETS:

Goodwill	276,704
Other intangibles, net	133,608
Deferred tax assets- noncurrent	952
<b>Other non-current assets</b>	<b>411,264</b>
<b>Assets</b>	<b>452,324</b>

### LIABILITIES and EQUITY:

#### CURRENT LIABILITIES:

Accounts payable and accrued liabilities	4,076
Accrued salaries and benefits	3,756
Income tax payable	438
Deferred revenue	63
<b>Current liabilities</b>	<b>8,333</b>

#### NON-CURRENT LIABILITIES:

Deferred tax liabilities- noncurrent	39,347
<b>Non-current liabilities</b>	<b>39,347</b>
<b>Liabilities</b>	<b>47,680</b>

#### SHAREHOLDERS EQUITY:

Contributed capital	228
Retained earnings	405,520
<b>Equity</b>	<b>405,748</b>
<b>Total liabilities and equity</b>	<b>\$ 453,428</b>

In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of normal recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the IntercontinentalExchange Group, Inc. financial statements and notes thereto for the year ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.



**SECURITIES EVALUATIONS, INC.**  
**STATEMENT OF INCOME**  
**Year Ended December 31, 2017**  
**(Unaudited)**  
**(in thousands)**

Data services fees, net	\$ 62,037
<b>Total revenue</b>	<b>62,037</b>
Compensation and benefits	9,323
Professional services	4,839
Acquisition-related transaction and integration costs	2,065
Technology and communication	12,449
Rent and occupancy	699
Selling, general and administrative	39
Depreciation and amortization	11,838
<b>Operating expenses</b>	<b>41,252</b>
<b>Operating income</b>	<b>20,785</b>
Other expense, net	63
<b>Other expense, net</b>	<b>63</b>
<b>Pre-tax net income</b>	<b>20,722</b>
<b>Income tax benefit</b>	<b>6,870</b>
<b>Net income</b>	<b>27,592</b>

In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of normal recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the IntercontinentalExchange Group, Inc. financial statements and notes thereto for the year ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.



# Securities Industry Automation Corporation

## BALANCE SHEET

As of December 31, 2017

(Unaudited)

(in thousands)

### CURRENT ASSETS:

Cash and cash equivalents	\$	4,870
Accounts receivable, net of allowance		2,307
Due from Affiliates		213,689
Prepaid expenses and other current assets		5,217
<b>Current assets</b>		<b>226,083</b>

### PLANT PROPERTY AND EQUIPMENT:

Property and equipment		332,935
Accumulated depreciation		(172,516)
<b>Property and equipment, net</b>		<b>160,419</b>
<b>Assets</b>		<b>386,502</b>

### LIABILITIES and EQUITY:

#### CURRENT LIABILITIES:

Accounts payable and accrued liabilities		14,330
Accrued salaries and benefits		6,420
Income Tax Payable		3,011
<b>Current liabilities</b>		<b>23,761</b>

#### NON-CURRENT LIABILITIES:

Deferred tax liabilities - current		11,711
Other non-current liabilities		5,010
<b>Non-current liabilities</b>		<b>16,721</b>
<b>Liabilities</b>		<b>40,482</b>

#### SHAREHOLDERS EQUITY:

Additional paid-in capital		10,557
Retained earnings		335,463
<b>Equity</b>		<b>346,020</b>
<b>Total liabilities and equity</b>	<b>\$</b>	<b>386,502</b>

In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of normal recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the IntercontinentalExchange Group, Inc. financial statements and notes thereto for the year ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.



**Securities Industry Automation Corporation**  
**STATEMENT OF INCOME**  
**Year Ended December 31, 2017**  
**(Unaudited)**  
**(in thousands)**

Transaction and clearing fees, net	
Data services fees, net	\$ 14,673
Affiliate revenue	106,229
<b>Total revenue</b>	<b>120,902</b>
Compensation and benefits	34,594
Professional services	1,559
Technology and communication	26,885
Rent and occupancy	487
Selling, general and administrative	568
Depreciation and amortization	32,567
<b>Operating expenses</b>	<b>96,660</b>
<b>Operating income</b>	<b>24,242</b>
<b>Pre-tax net income</b>	<b>24,242</b>
<b>Income tax expense</b>	<b>3,538</b>
<b>Net income</b>	<b>20,704</b>

In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of normal recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the IntercontinentalExchange Group, Inc. financial statements and notes thereto for the year ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.

**Stock Clearing Corporation****BALANCE SHEET****As of December 31, 2017****(Unaudited)****(in thousands)****CURRENT ASSETS:**

Due from Affiliates	\$	2,764
<b>Current assets</b>		<u>2,764</u>

**NON-CURRENT ASSETS:**

Goodwill		(19)
Other noncurrent assts		300
<b>Other non-current assets</b>		<u>281</u>
<b>Assets</b>		<u>3,045</u>

**LIABILITIES and EQUITY:****CURRENT LIABILITIES:**

Accounts payable and accrued liabilities		-
<b>Current liabilities</b>		<u>-</u>
<b>Liabilities</b>		<u>-</u>

**SHAREHOLDERS EQUITY:**

Retained earnings		3,045
<b>Equity</b>		<u>3,045</u>
<b>Total liabilities and equity</b>	\$	<u>3,045</u>

In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of normal recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the IntercontinentalExchange Group, Inc. financial statements and notes thereto for the year ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.





**Stock Clearing Corporation**  
**STATEMENT OF INCOME**  
**Year Ended December 31, 2017**  
**(Unaudited)**  
**(in thousands)**

Other revenues	\$ -
<b>Total revenue</b>	<b>0</b>
Selling, general and administrative	0
<b>Operating expenses</b>	<b>0</b>
<b>Operating income</b>	<b>0</b>
<b>Other expense, net</b>	<b>0</b>
<b>Pre-tax net income</b>	<b>0</b>
<b>Income tax expense</b>	<b>0</b>
<b>Net income</b>	<b>0</b>

In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of normal recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the IntercontinentalExchange Group, Inc. financial statements and notes thereto for the year ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.



# Strike Network Services LLC

## BALANCE SHEET

As of December 31, 2017

(Unaudited)

(in thousands)

### CURRENT ASSETS:

Cash and cash equivalents	\$	12
Due from affiliates		21
<b>Current assets</b>		<b>33</b>

### PLANT PROPERTY AND EQUIPMENT:

Property and equipment		400
Accumulated depreciation		(59)
<b>Property and equipment, net</b>		<b>341</b>
<b>Assets</b>		<b>374</b>

### LIABILITIES and EQUITY:

#### CURRENT LIABILITIES:

Accounts payable and accrued liabilities		-
<b>Current liabilities</b>		<b>0</b>
<b>Liabilities</b>		<b>0</b>

#### SHAREHOLDERS EQUITY:

Retained earnings		374
<b>Equity</b>		<b>374</b>
<b>Total liabilities and equity</b>	<b>\$</b>	<b>374</b>

In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of normal recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the IntercontinentalExchange Group, Inc. financial statements and notes thereto for the year ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.



**Strike Network Services LLC**  
**STATEMENT OF INCOME**  
**Year Ended December 31, 2017**  
**(Unaudited)**  
**(in thousands)**

Data services fees, net	-
<b>Total revenue</b>	<b>0</b>
Selling, general and administrative	1
Depreciation and amortization	58
<b>Operating expenses</b>	<b>59</b>
<b>Operating loss</b>	<b>(59)</b>
<b>Pre-tax net loss</b>	<b>(59)</b>
<b>Net loss</b>	<b>(59)</b>

In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of normal recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the Intercontinental Exchange Group, Inc. financial statements and notes thereto for the year ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.



**StrikeNET LLC**  
**BALANCE SHEET**  
**As of December 31, 2017**  
**(Unaudited)**  
**(in thousands)**

**CURRENT ASSETS:**

Cash and cash equivalents	26
Due from affiliates	92
<b>Current assets</b>	<b>118</b>
<b>Assets</b>	<b>118</b>

**LIABILITIES and EQUITY:**

**CURRENT LIABILITIES:**

Accounts payable and accrued liabilities	-
<b>Current liabilities</b>	<b>0</b>
<b>Liabilities</b>	<b>0</b>

**SHAREHOLDERS EQUITY:**

Retained earnings	118
<b>Equity</b>	<b>118</b>
<b>Total liabilities and equity</b>	<b>\$ 118</b>

In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of normal recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the IntercontinentalExchange Group, Inc. financial statements and notes thereto for the year ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.



**StrikeNET LLC**  
**STATEMENT OF INCOME**  
**Year Ended December 31, 2017**  
**(Unaudited)**  
**(in thousands)**

Data services fees, net	-
<b>Total revenue</b>	<b>0</b>
Selling, general and administrative	1
<b>Operating expenses</b>	<b>1</b>
<b>Operating loss</b>	<b>(1)</b>
<b>Pre-tax net loss</b>	<b>(1)</b>
<b>Net loss</b>	<b>(1)</b>

In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of normal recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the Intercontinental Exchange Group, Inc. financial statements and notes thereto for the year ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.



**ICE Swap Trade LLC**  
**Balance Sheet**  
**As Of December 31, 2017**  
**(Unaudited)**  
**(in thousands)**

**CURRENT ASSETS:**

Cash and cash equivalents	\$	2,295
Restricted cash		755
Accounts receivable, net of allowance		4
Prepaid Expenses and Other Current Assets		6
Due from affiliate		10,041
<b>Current assets</b>		<b>13,101</b>
<b>Total assets</b>	<b>\$</b>	<b>13,101</b>

**LIABILITIES AND MEMBER EQUITY:**

**CURRENT LIABILITIES:**

Accounts payable and accrued liabilities	\$	1
<b>Current liabilities</b>		<b>1</b>

**EQUITY:**

Contributed capital		9,200
Retained earnings		3,900
<b>Equity</b>		<b>13,100</b>
<b>Total liabilities and equity</b>	<b>\$</b>	<b>13,101</b>

In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of not recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audit financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the Intercontinental Exchange, Inc. financial statements and notes thereto for the year ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.



**ICE Swap Trade LLC**  
**Income Statement**  
**Year Ended December 31, 2017**  
**(Unaudited)**  
**(in thousands)**

**REVENUES:**

Transaction fees	\$ 3,388
<b>Operating revenues</b>	<b>3,388</b>

**OPERATING EXPENSES:**

Compensation and benefits	22
Professional services	1
Technology Expenses	93
Rent and occupancy	33
Selling, general & administrative	24
Service and license fees to affiliate	1,304
<b>Operating expenses</b>	<b>1,477</b>

<b>Operating income</b>	<b>1,911</b>
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<b>Other expense</b>	<b>(2)</b>
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<b>Net income</b>	<b>\$ 1,909</b>
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In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of normal recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the Intercontinental Exchange, Inc. financial statements and notes thereto for the year ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.



**Tap and Trade Inc (dormant)**  
**Balance Sheet**  
**As Of December 31, 2017**  
**(Unaudited)**

**ASSETS:**

Other assets		1
<b>Total assets</b>	<b>\$</b>	<b>1</b>

**LIABILITIES AND EQUITY:**

**EQUITY:**

Member capital		1
<b>Equity</b>		<b>1</b>
<b>Total equity</b>	<b>\$</b>	<b>1</b>

In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of nor recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the Intercontinental Exchange Group, Inc. financial statements and notes thereto for the ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.





**The Clearing Corporation**  
**Balance Sheet**  
**As of December 31, 2017**  
**(Unaudited)**  
**(in thousands)**

**CURRENT ASSETS:**

Cash and cash equivalents	\$	1,036
Income tax		0
<b>Current Assets</b>		<u>1,036</u>

**PLANT PROPERTY AND EQUIPMENT:**

Property and equipment cost		1,941
Accumulated depreciation		(1,941)
<b>Property and Equipment, Net</b>		<u>0</u>

**OTHER NONCURRENT ASSETS:**

Goodwill		22,514
Other intangibles assets, net		425
<b>Other non-current assets</b>		<u>22,939</u>
<b>Assets</b>	\$	<u>23,975</u>

**LIABILITIES and EQUITY:**

**NON CURRENT LIABILITIES:**

Deferred Tax Liabilities -Non Current		(889)
<b>Other non-current liabilities</b>		<u>(889)</u>

**CURRENT LIABILITIES:**

Accounts payable and accrued liabilities	\$	13
Due from affiliates, net		268
<b>Current liabilities</b>		<u>281</u>
<b>Liabilities</b>		<u>(608)</u>

**EQUITY:**

Contributed capital		90,245
Retained deficit		(65,662)
<b>Total Equity</b>		<u>24,583</u>
<b>Total Liabilities and Equity</b>	\$	<u>23,975</u>

In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of normal recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the Intercontinental Exchange, Inc. financial statements and notes thereto for the year ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.

**CONFIDENTIAL TREATMENT REQUESTED**

**AMERICAN-ONE18-000159**

**The Clearing Corporation**  
**Statement of Income**  
**Year Ended December 31, 2017**  
(Unaudited)  
(in thousands)

**Revenues:**

Other revenue	\$ 67
Affiliate revenue	0
<b>Total revenue</b>	<u>67</u>

**Expenses:**

Professional services	2
Selling, general and administrative	103
Depreciation and amortization	2,555
<b>Operating expenses</b>	<u>2,660</u>
<b>Operating loss</b>	<u>(2,593)</u>

Interest income	3
<b>Other income</b>	<u>3</u>

<b>Pre-tax net loss</b>	889
Income tax expense	(2,589)
<b>Net loss</b>	<u>\$ (1,700)</u>

In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of normal recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the Intercontinental Exchange, Inc. financial statements and notes thereto for the year ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.



**TradeCapture OTC Corp**  
**Balance Sheet**  
**As Of December 31, 2017**  
**(Unaudited)**  
**(in thousands)**

**CURRENT ASSETS:**

Accounts receivable, net of allowance	\$	31
Income Tax Receivable		3
<b>Current assets</b>		<b>34</b>

**PROPERTY PLANT AND EQUIPMENT:**

Property and equipment cost		5,697
Accumulated depreciation		(4,533)
<b>Property and equipment net</b>		<b>1,164</b>

**OTHER NON-CURRENT ASSETS**

Investment in subsidiary		168
Other non-current assets		5
<b>Other non-current assets</b>		<b>173</b>
<b>Total assets</b>	<b>\$</b>	<b>1,371</b>

**LIABILITIES AND EQUITY:**

**CURRENT LIABILITIES:**

Accounts payable and accrued liabilities	\$	54
Accrued salaries and benefits		195
Due to affiliates		17,081
Deferred revenue		16
<b>Current liabilities</b>		<b>17,346</b>

**NONCURRENT LIABILITIES:**

Deferred tax liabilities - noncurrent		310
Other noncurrent liabilities		1
<b>NonCurrent Liabilities</b>		<b>311</b>

<b>Total liabilities</b>		<b>17,657</b>
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**EQUITY:**

Additional paid-in capital		4,838
Net deficit		(21,124)
<b>Equity</b>		<b>(16,286)</b>

<b>Total liabilities and equity</b>	<b>\$</b>	<b>1,371</b>
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In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of non-recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the Intercontinental Exchange Group, Inc. financial statements and notes thereto for the ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.



**TradeCapture OTC Corp**  
**Income Statement**  
**Year Ended December 31, 2017**  
**(Unaudited)**  
**(In thousands)**

**REVENUES:**

Other revenue	\$ 62
<b>Operating revenues</b>	<b>62</b>

**OPERATING EXPENSES:**

Compensation and benefits	436
Professional services	63
Mergers and acquisition expenses	
Rent and other occupancy	48
Technology and communications	96
Selling, general & administration	6
Amortization & depreciation expense	730
Service & license fees to affiliates	362
<b>Operating expenses</b>	<b>1,741</b>
<b>Operating loss</b>	<b>(1,679)</b>
 Income tax benefit	 835
<b>Net loss</b>	<b>\$ (844)</b>

In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of normal recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the IntercontinentalExchange Group, Inc. financial statements and notes thereto for the year ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.

**TradeCapture OTC Holdings, Inc.**

**Balance Sheet**

**As Of December 31, 2017**

**(Unaudited)**

**(In thousands)**

**CURRENT ASSETS:**

Due from affiliate	\$	6,026
<b>Current assets</b>		<b>6,026</b>

**OTHER NON-CURRENT ASSETS**

Deferred tax assets - non-current		20
Goodwill		8,744
<b>Other non-current assets</b>		<b>8,764</b>

<b>Total assets</b>	<b>\$</b>	<b>14,790</b>
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**EQUITY:**

Contributed Capital	\$	10,880
Retained Earnings		3,910
<b>Equity</b>		<b>14,790</b>

<b>Total liability and equity</b>	<b>\$</b>	<b>14,790</b>
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**TradeCapture OTC Holdings Inc.**  
**Income Statement**  
**Year Ended December 31, 2017**  
**(Unaudited)**  
**(In thousands)**

<b>TOTAL REVENUE</b>	<u>\$ -</u>
<b>OPERATING EXPENSES:</b>	
Selling, general and administrative	-
<b>Operating expenses</b>	<u>-</u>
<b>Pre-tax net loss</b>	<u>-</u>
Income tax expense	(10)
<b>Net loss</b>	<u>\$ 10</u>

In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of normal recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2016 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the IntercontinentalExchange Group, Inc. financial statements and notes thereto for the year ended December 31, 2016, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.



**Trebuchet Holdings, LLC**  
**Balance Sheet**  
**As Of December 31, 2017**  
**(Unaudited)**  
**(In thousands)**

**PLANT PROPERTY AND EQUIPMENT**

Property and equipment cost	\$	1,060
Accumulated depreciation		(1,060)
<b>Property and equipment net</b>		<b>0</b>
<b>Total assets</b>	<b>\$</b>	<b>-</b>

**LIABILITIES AND MEMBER EQUITY:**

**CURRENT LIABILITIES:**

Due to affiliates	\$	2,000
<b>Current liabilities</b>		<b>2,000</b>
<b>Total liabilities</b>		<b>2,000</b>

**EQUITY:**

Contributed capital		1,519
Retained deficit		(3,519)
<b>Equity</b>		<b>(2,000)</b>
<b>Total liabilities and equity</b>	<b>\$</b>	<b>(0)</b>

In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of normal recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the Intercontinental Exchange, Inc. financial statements and notes thereto for the year ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.



**Trebuchet Holdings, LLC**  
**Income Statement**  
**Year Ended December 31, 2017**  
**(Unaudited)**  
**(In thousands)**

<b>Total Revenue</b>	\$ -
<b>OPERATING EXPENSES:</b>	
Amortization & depreciation expense	\$ -
<b>Operating expenses</b>	<b>0</b>
<b>Net loss</b>	<b>\$ -</b>

In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of normal recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the Intercontinental Exchange, Inc. financial statements and notes thereto for the year ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.





**When-Tech LLC**  
**Balance Sheet**  
**As Of December 31, 2017**  
**(Unaudited)**  
**(in thousands)**

**CURRENT ASSETS:**

Cash and cash equivalents	\$ 899
Accounts receivable, net of allowance	752
<b>Current Assets</b>	<b>1,651</b>

**PROPERTY PLANT AND EQUIPMENT:**

Property and Equipment Cost	8,307
Accumulated Depreciation	(5,646)
<b>Property and Equipment Net</b>	<b>2,661</b>

**OTHER NONCURRENT ASSETS**

Goodwill	16,237
Other Intangibles, net	0
<b>Other Noncurrent Assets</b>	<b>16,237</b>

<b>Total Assets</b>	<b>\$ 20,549</b>
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**LIABILITIES AND MEMBER EQUITY:**

**CURRENT LIABILITIES:**

Accounts payable and accrued liabilities	\$ 26
Accrued salaries and benefits	418
Due to affiliate	1,891
Deferred revenue	295
<b>Current Liabilities</b>	<b>2,630</b>

<b>Total Liabilities</b>	<b>2,630</b>
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**EQUITY:**

Contributed capital	23,496
Retained deficit	(5,577)
<b>Member equity</b>	<b>17,919</b>

<b>Total liabilities and equity</b>	<b>\$ 20,549</b>
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In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of normal recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the Intercontinental Exchange, Inc. financial statements and notes thereto for the year ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.



**When-Tech LLC**  
**Income Statement**  
**Year Ended December 31, 2017**  
**(Unaudited)**  
**(in thousands)**

**REVENUES:**

Market data fees, revenue	\$ 5,107
<b>Operating revenues</b>	<b>5,107</b>

**OPERATING EXPENSES:**

M&A Expenses	0
Compensation and benefits	2,394
Professional services	0
Rent and occupancy	299
Technology and communication	20
Selling, general and administrative	75
Depreciation and amortization	2,151
Service and license fees to affiliate	1,527
<b>Operating Expenses</b>	<b>6,466</b>

<b>Net loss</b>	<b>\$ (1,359)</b>
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In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of normal recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the Intercontinental Exchange, Inc. financial statements and notes thereto for the year ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.



**Wireless Internetwork LLC**  
**BALANCE SHEET**  
As of December 31, 2017  
(Unaudited)  
(in thousands)

**CURRENT ASSETS:**

Cash and cash equivalents	\$	3
Due from affiliates		
<b>Current assets</b>		<u>3</u>

**PLANT PROPERTY AND EQUIPMENT:**

Property and equipment	112
Accumulated depreciation	(52)
<b>Property and equipment, net</b>	<u>60</u>
<b>Assets</b>	<u><u>63</u></u>

**LIABILITIES and EQUITY:**

**CURRENT LIABILITIES:**

Due to affiliates	118
<b>Current liabilities</b>	<u>118</u>
<b>Liabilities</b>	<u>118</u>

**SHAREHOLDERS EQUITY:**

Retained deficit	(55)
<b>Equity</b>	<u>(55)</u>
<b>Total liabilities and equity</b>	<u><u>\$ 63</u></u>

In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of normal recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the IntercontinentalExchange Group, Inc. financial statements and notes thereto for the year ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.



**Wireless Internetwork LLC**  
**STATEMENT OF INCOME**  
**Year Ended December 31, 2017**  
**(Unaudited)**  
**(in thousands)**

Data services fees, net	-
<b>Total revenue</b>	<b>0</b>
Selling, general and administrative	1
Depreciation and amortization	52
<b>Operating expenses</b>	<b>53</b>
<b>Operating loss</b>	<b>(53)</b>
<b>Pre-tax net loss</b>	<b>(53)</b>
<b>Net loss</b>	<b>(53)</b>

In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of normal recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting and equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the IntercontinentalExchange Group, Inc. financial statements and notes thereto for the year ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.



**Yellow Jacket  
Balance Sheet  
As Of December 31, 2017  
(Unaudited)  
(In thousands)**

**CURRENT ASSETS:**

Cash & Equivalents	\$	(5)
Accounts receivable, net of allowance		2,321
Prepaid expenses and other current assets		11
<b>Current assets</b>		<b>2,327</b>

**PROPERTY PLANT AND EQUIPMENT:**

Property and equipment cost		30,035
Accumulated depreciation		(22,530)
<b>Property and equipment net</b>		<b>7,505</b>

**OTHER NONCURRENT ASSETS**

Goodwill		62,065
Other intangibles, net		1,682
<b>Other noncurrent assets</b>		<b>63,747</b>
<b>Total assets</b>	<b>\$</b>	<b>73,579</b>

**LIABILITIES AND MEMBER EQUITY:**

**CURRENT LIABILITIES:**

Accounts payable and accrued liabilities	\$	62
Accrued salaries and benefits		1,532
Deferred revenue		2,259
Due to affiliate		42,850
<b>Current liabilities</b>		<b>46,703</b>

**NONCURRENT LIABILITIES:**

Deferred tax liabilities - noncurrent		2,204
<b>Noncurrent liabilities</b>		<b>2,204</b>
<b>Total liabilities</b>		<b>48,907</b>

**MEMBER EQUITY:**

Member capital		55,107
Additional paid-in capital		9,046
Retained Deficit		(39,481)
<b>Member equity</b>		<b>24,672</b>

<b>Total liabilities and member equity</b>	<b>\$</b>	<b>73,579</b>
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In the opinion of management, the accompanying unaudited financial statements contain all adjustments (consisting of normal recurring adjustments) necessary to fairly present our financial position and results of operations for the period presented. Certain information normally included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America have been condensed or omitted. These financial statements do not include income taxes accounting an equity method accounting. The balance sheet at December 31, 2017 has been derived from the audited financial statements of Intercontinental Exchange, Inc. (the ultimate parent company) at that date but does not include all of the information required by generally accepted accounting principles for complete financial statements. These financial statements should be read in conjunction with the IntercontinentalExchange Group, Inc. financial statements and notes thereto for the year ended December 31, 2017, which are contained in our Annual Report on Form 10-K previously filed with the Securities and Exchange Commission.



**Yellow Jacket**  
**Income Statement**  
**As Of December 31, 2017**  
**(Unaudited)**  
**(In thousands)**

**REVENUES:**

Data service fees	\$ 5,678
<b>Operating revenues</b>	<b>5,678</b>

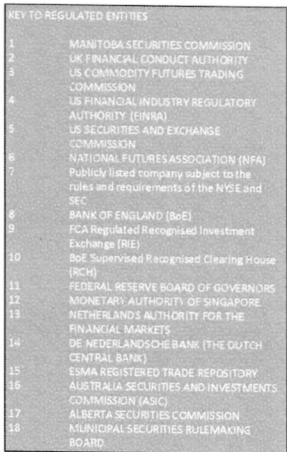
**OPERATING EXPENSES:**

Compensation and benefits	6,234
Professional services	107
Rent and other occupancy	1,140
Technology and communication	264
Selling, general & administration	214
Amortization & depreciation expense	5,827
Service & license fees to affiliates	984
<b>Operating expenses</b>	<b>14,770</b>

<b>Pre-tax loss</b>	(9,092)
Income tax benefit	(4,858)

<b>Net loss</b>	<b>\$ (4,234)</b>
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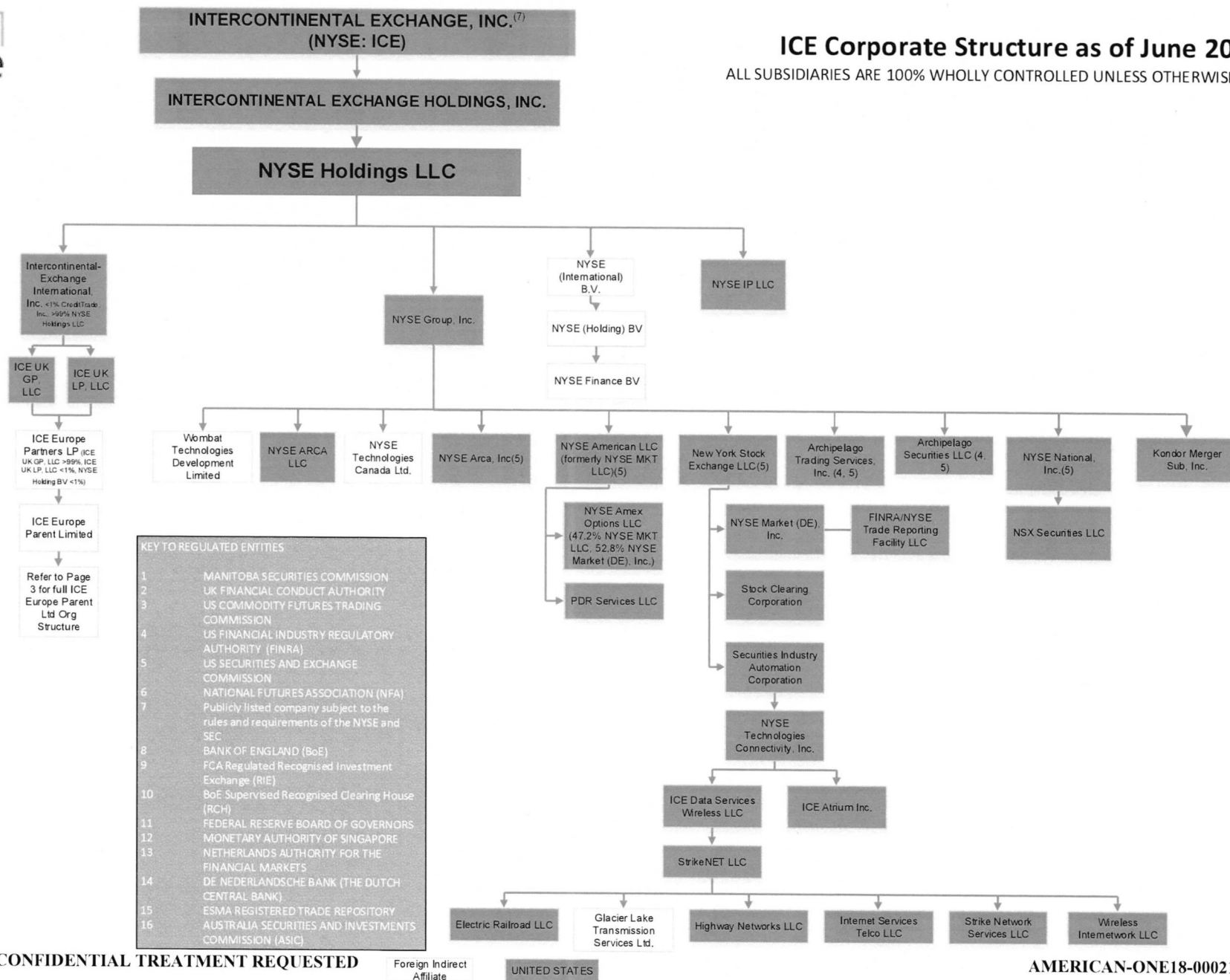
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## ICE Corporate Structure as of June 2018

ALL SUBSIDIARIES ARE 100% WHOLLY CONTROLLED UNLESS OTHERWISE INDICATED



KEY TO REGULATED ENTITIES	
1	MANITOBA SECURITIES COMMISSION
2	UK FINANCIAL CONDUCT AUTHORITY
3	US COMMODITY FUTURES TRADING COMMISSION
4	US FINANCIAL INDUSTRY REGULATORY AUTHORITY (FINRA)
5	US SECURITIES AND EXCHANGE COMMISSION
6	NATIONAL FUTURES ASSOCIATION (NFA)
7	Publicly listed company subject to the rules and requirements of the NYSE and SEC
8	BANK OF ENGLAND (BoE)
9	FCA Regulated Recognised Investment Exchange (RIE)
10	BoE Supervised Recognised Clearing House (RCH)
11	FEDERAL RESERVE BOARD OF GOVERNORS
12	MONETARY AUTHORITY OF SINGAPORE
13	NETHERLANDS AUTHORITY FOR THE FINANCIAL MARKETS
14	DE NEDERLANDSCHE BANK (THE DUTCH CENTRAL BANK)
15	ESMA REGISTERED TRADE REPOSITORY
16	AUSTRALIA SECURITIES AND INVESTMENTS COMMISSION (ASIC)

CONFIDENTIAL TREATMENT REQUESTED

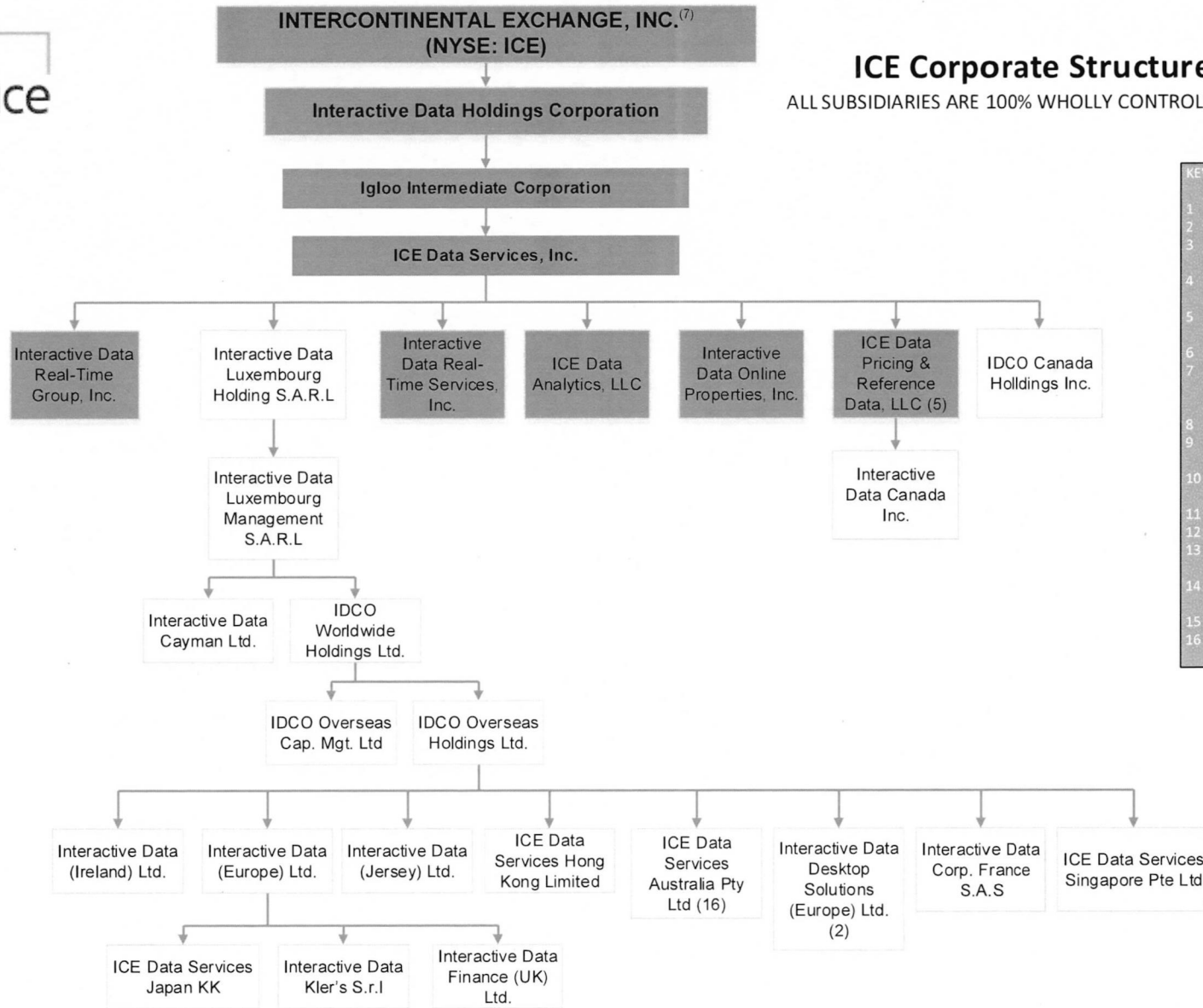
Foreign Indirect Affiliate

UNITED STATES

AMERICAN-ONE18-000210







## KEY TO REGULATED ENTITIES

- 1 MANITOBA SECURITIES COMMISSION
- 2 UK FINANCIAL CONDUCT AUTHORITY
- 3 US COMMODITY FUTURES TRADING COMMISSION
- 4 US FINANCIAL INDUSTRY REGULATORY AUTHORITY (FINRA)
- 5 US SECURITIES AND EXCHANGE COMMISSION
- 6 NATIONAL FUTURES ASSOCIATION (NFA)
- 7 Publicly listed company subject to the rules and requirements of the NYSE and SEC
- 8 BANK OF ENGLAND (BoE)
- 9 FCA Regulated Recognised Investment Exchange (RIE)
- 10 BoE Supervised Recognised Clearing House (RCH)
- 11 FEDERAL RESERVE BOARD OF GOVERNORS
- 12 MONETARY AUTHORITY OF SINGAPORE
- 13 NETHERLANDS AUTHORITY FOR THE FINANCIAL MARKETS
- 14 DE NEDERLANDSCHE BANK (THE DUTCH CENTRAL BANK)
- 15 ESMA REGISTERED TRADE REPOSITORY
- 16 AUSTRALIA SECURITIES AND INVESTMENTS COMMISSION (ASIC)

CONFIDENTIAL TREATMENT REQUESTED

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